

UNITED STATES DISTRICT COURT
DISTRICT OF PUERTO RICO

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In re:

PROMESA
Title III

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

No. 17 BK 3283-LTS

as representative of

(Jointly Administered)

THE COMMONWEALTH OF PUERTO RICO, *et al.*

Debtors.¹

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CERTIFICATE OF SERVICE

I, Craig E. Johnson, depose and say that I am employed by Prime Clerk LLC (“***Prime Clerk***”), the Solicitation, Notice, and Claims Agent for the Debtors in the above-captioned case under Title III of PROMESA.²

On January 20, 2022, at my direction and under my supervision, employees of Prime Clerk caused the following document to be served via overnight mail or next day business service on the banks, brokers, dealer’s agents, nominees or their agents identified on the service list attached hereto as **Exhibit A** (collectively, the “***Nominees***”). The Nominees were provided with instructions and sufficient quantities to distribute the Deadline Extension Notice to the beneficial holders of the Debtors’ public securities.

- Notice of Retail Investor Certification, a copy of which is attached hereto as **Exhibit B**, (the “***Retail Certification***”)

¹ The Debtors in these Title III Cases, along with each Debtor’s respective Title III case number and the last four (4) digits of each Debtor’s federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17 BK 3283-LTS) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation (“COFINA”) (Bankruptcy Case No. 17 BK 3284-LTS) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority (“HTA”) (Bankruptcy Case No. 17 BK 3567-LTS) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico (“ERS”) (Bankruptcy Case No. 17 BK 3566-LTS) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority (“PREPA”) (Bankruptcy Case No. 17 BK 4780-LTS) (Last Four Digits of Federal Tax ID: 3747); and (vi) Puerto Rico Public Buildings Authority (“PBA”) (Bankruptcy Case No. 19-BK-5523-LTS) (Last Four Digits of Federal Tax ID: 3801) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

² At various points in its engagement, Prime Clerk is also referenced as the “Balloting Agent”.

In addition to the hard copy service detailed above, on January 20, 2022, at my direction and under my supervision, employees of Prime Clerk caused the Retail Certification to be served via email on the service list attached hereto as **Exhibit C.**

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Dated: January 27, 2022

/s/ Craig E. Johnson
Craig E. Johnson

State of New York
County of New York

Subscribed and sworn (or affirmed) to me on January 27, 2022, by Craig E. Johnson, proved to me on the bases of satisfactory evidence to be the person who executed this affidavit.

/s/ JAMES A. MAPPLETHORPE
Notary Public, State of New York
No. 01MA6370846
Qualified in New York County
Commission Expires February 12, 2022

Exhibit A

Exhibit A
Nominee Service List
Served via Overnight Mail or Next Day Business Service

NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
BROADRIDGE	JOBS: E28172, E28174, E28175	51 MERCEDES WAY		EDGEWOOD	NY	11717	US
MEDIANT COMMUNICATIONS	ATTN Stephany Hernandez	100 Demarest Drive		WAYNE	NJ	07470 0000	US
DEPOSITORY TRUST CO	ATTN ED HAIDUK	55 WATER STREET 25TH FLOOR		NEW YORK	NY	10041	US
DEPOSITORY TRUST CO	ATTN HORACE DALEY	55 WATER STREET 25TH FLOOR		NEW YORK	NY	10041	US
AFLAC INCORPORATED DRS 7815	ATTN JOAN DIBLASI OR REORG MGR	1932 WYNNTON ROAD		COLUMBUS	GA	31999	AR
ALLIANT SECURITIES INC 8084	ATTN MELODY GRINNELL OR REORG MGR	695 NORTH LEGACY RIDGE DR SUITE 300		LIBERTY LAKE	WA	99019	CA
ALPINE ASSOCIATES 0491	Attn REORG Manager	574 Sylvan ave		Englewood Cliffs	NY	07632-0000	CA
ALPINE PARTNERS LP 0439	Attn REORG Manager	574 Sylvan ave		Englewood Cliffs	NY	07632-0000	CA
ALPINE SECURITIES CORP 8072	ATTN Chris Doubek OR REORG MGR	39 Exchange Place		SALT LAKE CITY	UT	84111	CA
AMALGAMATED BANK 2352	ATTN STEPHEN ERB OR REORG DEPT	275 7TH AVE 9TH FL		NEW YORK	NY	10001	CA
AMALGAMATED BANK CHICAGO 1574 2567	ATTN BERNETTA SMITH OR REORG MGR	ONE WEST MONROE STREET		CHICAGO	IL		CA
AMERICAN ENTERPRISE 0216 0756 2146	ATTN REORG MGR	2178 AMERIPRISE FINANCIAL CENTER	ROUTING S6 2178	MINNEAPOLIS	MN	55474	CA
AMERICAN ENTERPRISE 7260	ATTN REORG MGR	2723 AMERIPRISE FINANCIAL CENTER		MINNEAPOLIS	MN	55474	CA
AMERICAN ENTERPRISE INVEST SER 0216	ATTN MARY WALKER OR REORG DEPT	2178 AXP FINANCIAL CENTER		MINNEAPOLIS	MN	55474	CA
AMERIPRISE 0216 0756	ATTN TOM EBERHART OR REORG MGR	2178 AMP FINANCIAL CENTER		MINNEAPOLIS	MN	55474	CA
AMERIPRISE 0756	ATTN ERIN M STIELER OR REORG MGR	682 AMP FINANCIAL CENTER		MINNEAPOLIS	MN	55474	CA
AMERIPRISE 0756	ATTN GREG WRAALSTAD OR REORG MGR	901 3RD AVE SOUTH		MINNEAPOLIS	MN	55474	CA
ASSOCIATED BANK 2257	ATTN BETH DRAVILLION OR REORG MGR	433 MAIN STREET	5TH FLOOR	GREEN BAY	WI	54301	CA
BAIRD CO INCORPORATED 0547	ATTN JANE ERBE OR REORG MGR	777 E WISCONSIN AVENUE		MILWAUKEE	WI	53202	CA
BANC OF AMERICA SECS AFFILIATE 0774	ATTN JOHN BYRNE OR REORG MGR	100 WEST 33RD STREET		NEW YORK	NY	10001	CA
BANC OF AMERICA SECURITIES 0773	ATTN JOHN DOLAN OR REORG MGR	100 W 33RD STREET	3RD FLOOR	NEW YORK	NY	10001	CA
BANC OF AMERICA SPECIALIST INC 0020	ATTN REORG MGR	222 BROADWAY	11TH FLOOR	NEW YORK	NY	10038	CA
BANCA IMI SECURITIES CORP 0136	ATTN REORG MGR	One William Street		New York	NY	10004	CA
BANK NEW YORK BARCLAYS 2196 2491	LISA FALSETTI OR REORG DEPT	525 WILLIAM PENN PLACE	ROOM 0300	PITTSBURGH	PA	15259	CA
BANK OF AMERICA LASALLE BANK 1581	ATTN GEORGE EARL OR REORG MGR	135 S LASALLE STREET SUITE 1860		CHICAGO	IL	60603	CA
BANK OF AMERICA LASALLE BANK 2251	ATTN RICK LEDENBACH OR REORG MGR	135 SOUTH LASALLE STREET SUITE 1811		CHICAGO	IL	60603	CA
BANK OF NOVA SCOTIA SIL CDS 4841	ATTN HEATHER ALLICE OR REORG MGR	44 KING STREET WEST SCOTIA PLAZA		TORONTO	ON	M5H 1H1	CA
BARCLAY CAP 229 7256 7254 8455 7263	ATTN Anthony Sciaraffo or REORG MGR	745 SEVENTH AVENUE	16TH FLOOR	NEW YORK	NY	10019	CA
BARCLAY CAP 229 8455 7256 7254 5101	ATTN ANTHONY SCIARAFFO CORP ACT	745 SEVENTH AVENUE	16TH FLOOR	NEW YORK	NY	10019	CA
BB T SECURITIES0702	ATTN JESSE W SPROUSE OR REORG DEPT	8006 DISCOVERY DRIVE	SUITE 200	RICHMOND	VA	23229	CA
BB T SECURITIES0702	ATTN RICKY JACKSON OR REORG DEPT	CORPORATE ACTIONS	8006 DISCOVERY DRIVE	RICHMOND	VA	23229	CA
BBS SECURITIES INC CDS 5085	DEBORAH CARLYLE	4100 YONGE ST	SUITE 506	TORONTO	ON	M2P 2B5	CA
BGC FINANCIAL BGC BROKERS 5271	ATTN ALFREDO ARCHIBALD OR REORG MGR	110 EAST 59TH STREET 7TH FLOOR		NEW YORK	NY	10022	CA
BGC FINANCIAL LP 0537	ATTN REORG MGR	110 E 59th Street	7th Floor	New York	NY	10005	CA
BLACKMONT CAPITAL INC 5025	ATTN HADRIAN ABBOTT OR REORG MGR	BCE PLACE 181 BAY ST 181 BAY ST STE		TORONTO	ON	M5J 2T3	CA
BLACKROCK INSTITUTIONAL TRUST 2962	ATTN LINDA SELBACH OR REORG MGR	45 FREMONT STREET		SAN FRANCISCO	CA	94120 7101	CA
BMO CAPITAL MARKET CORP PALOMA 5221	ATTN B LESLIE OR REORG MGR	2 AMERICAN LANE		GREENWICH	CT	06836-0000	CA
BMO CAPITAL MARKETS CORP 0045	ATTN JOHN FINERTY OR REORG MGR	3 TIMES SQUARE		NEW YORK	NY	10036	CA
BMO NESBITT BURNS BMO TRUST CO 4712	ATTN ANDREA CONSTAND OR REORG MGR	1 First Canadian Place		TORONTO	ON	M5X 1H3	CA
BMO NESBITT BURNS INC CDS 5043	ATTN LOUISE TORANGEAU	1 FIRST CANADIAN PLACE 13TH FL		TORONTO	ON	M5X 1H3	CA
BMO NESBITT BURNS TRADING 0018	ATTN REORG MGR	3 TIMES SQUARE 28TH FLOOR		NEW YORK	NY	10036	CA
BMOCM BONDS 5257	ATTN EDWARD COLLETON OR REORG MGR	3 TIMES SQUARE		NEW YORK	NY	10036	CA
BNP 1569 2147 2154 2787 2884 2885	ATTN DEAN GALLI OR REORG DEPT	525 Washington Blvd 7th floor		JERSEY CITY	NJ	07310-0000	CA

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NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
BNP PARIBA NY BRNCH PARIS BNDS 7382	ATTN MATTHEW ROMANO OR REORG MGR	787 SEVENTH AVENUE		NEW YORK	NY	10019	CA
BNP PARIBAS LONDON BONDS 5153	ATTN RADMILA RADISA OR REORG MGR	787 7TH AVENUE 29TH FLOOR		NEW YORK	NY	10019	CA
BNP PARIBAS NEW YORK BRANCH 2147	ATTN G BANFI AARON COLIE REORG MGR	525 WASHINGTON BLVD	9TH FLOOR	JERSEY CITY	NJ	07310 0000	CA
BNP PARIBAS NEW YORK BRANCH 2322	ATTN RUPERT KENNEDY OR REORG MGR	787 7TH AVENUE 8TH FLOOR		NEW YORK	NY	10019	CA
BNP PARIBAS NY BRANCH 1569 2787	ATTN DEAN GALLI OR REORG DEPT	525 WASHINGTON BLVD	9TH FLOOR	JERSEY CITY	NJ	07310-0000	CA
BNP PARIBAS PRIME BROKERAGE 2154	ATTN GENE BANFI OR REORG MGR	525 WASHINGTON BLVD 9TH FLOOR		JERSEY CITY	NJ	07310-0000	CA
BNP PARIBAS SECURITIES CORP 0049	ATTN REORG MGR	525 Washington Blvd	9th Floor	Jersey City	NJ	07310-0000	CA
BNP PARIBAS SECURITIES FI 0630	ATTN ROBERT ALONGI OR REORG MGR	787 7TH AVENUE 29TH FLOOR		NEW YORK	NY	10019	CA
BNY CACLUX 8320	ATTN EVENTS CREATION OR REORG MGR	500 GRANT STREET	ROOM 151 2700	PITTSBURGH	PA	15258	CA
BNY CAYMEN 2802	ATTN MITCHEL SOBEL	401 SOUTH SALINA STREET	2ND FLOOR	SYRACUSE	NY	13202	CA
BNY CHARLE 2336	ATTN EVENT CREATION DEPARTMENT	500 GRANT STREET	ROOM 151 2700	PITTSBURGH	PA	15258	CA
BNY CONVERGEX EXECUTION 0100	ATTN REORG MGR	500 GRANT STREET	ROOM 151 2700	PITTSBURGH	PA	15258	CA
		525 WILLIAM PENN PLACE ROOM 0300		PITTSBURGH	PA	15259	CA
BNY MELLON ITC INVESTMENT 2206	ATTN BRIAN MARNELL OR REORG MGR	525 WILLIAM PENN PLACE	SUITE 300	PITTSBURGH	PA	15259	CA
BNY MELLON NE TRUST 0954	ATTN BETH STIFFLER OR REORG MGR	500 GRANT STREET	ROOM 151 2700	PITTSBURGH	PA	15258	CA
BNY NA 8420	ATTN EVENT CREATION DEPARTMENT	525 WILLIAM PENN PLACE		PITTSBURGH	PA	15259	CA
BNY SBL PB 2103	ATTN JENNIFER MAY OR REORG MGR	TWO BNY MELLON CENTER	525 WILLIAM PENN PL STE 1215	PITTSBURGH	PA	15259	CA
BNY WEALTH 8275	ATTN KEVIN KELLY OR REORG MGR	TWO BNY MELLON CENTER	525 WILLIAM PENN PL STE 1215	PITTSBURGH	PA	15259	CA
BNY WEALTH 8275	ATTN BETH COYLE OR REORG MGR	500 GRANT STREET	ROOM 151 2700	PITTSBURGH	PA	15258	CL
BNYM OZDTC 2731	ATTN EVENT CREATION DEPARTMENT	525 WILLIAM PENN PLACE		PITTSBURGH	PA	15259	IL
BNYM REETF 0963	ATTN JENNIFER MAY	401 SOUTH SALINA STREET 2ND FLOOR		SYRACUSE	NY	13202	IN
BNYMELLON SPECIAL PROCESSING 2292	ATTN MITCHEL SOBEL OR REORG MGR	525 WILLIAM PENN PLACE		PITTSBURGH	PA	15259	IN
BONY SPDR 2209	ATTN JENNIFER MAY OR REORG MGR	4320 KAHN DRIVE BUILDING 1		LUMBERTON	NC	28358	IN
BRANCH BANKING FM IP 2871	ATTN DOROTHEE SINGLETARY REORG MGR	4320 KAHN DRIVE BUILDING 1		LUMBERTON	NC	28358	IN
BRANCH BANKING REMIC 2867	ATTN DORATHEE SINGLETARY REORG MGR	223 W NASH STREET	3RD FLOOR	WILSON	NC	27893	IN
BRANCH BANKING TRUST CO 5385	ATTN TANJI BASS OR REORG MGR	525 WASHINGTON BLVD	NEW PORT TOWERS	JERSEY CITY	NJ	07310 1607	IN
BROWN BROTHERS HARRIMAN CO 0010	ATTN PAUL NONNON OR REORG MGR	140 BROADWAY		NEW YORK	NY	10005	IT
BROWN BROTHERS HARRIMAN CO ETF 0109	ATTN SHELDON BROUTMAN OR REORG MGR	901 SOUTH BOND ST SUITE 400		BALTIMORE	MD	21231	PE
BROWN INVESTMENT ADV TR 2644	ATTN BRIAN COLBERT OR REORG MGR	AVE 25 DE MAYO 362		BUENOS AIRES		C1002ABH	UK
CAJA DE VALORES SA 5610	ATTN MELINA BOBBIO OR REORG MGR	150 KING STREET WEST SUITE 1710		TORONTO	ON	M5H 1J9	UK
CALDWELL SECURITIES LTD 5013	ATTN KEVIN WEBBER OR REORG MGR	150 KING STREET WEST SUITE 1710		TORONTO	ON	M5H 1J9	UK
		194 WOOD AVENUE SOUTH 7TH FLOOR		ISELIN	NJ	08830-0000	UK
CALYON SECURITIES NON PURPOSE 5316	ATTN DANIEL SALCIDO OR REORG MGR	PACIFIC CENTR 2200 609 GRANVILLE ST		VANCOUVER	BC	V7Y 1H2	UK
CANACCORD GENUITY CORP CDS 5046	ATTN ALMA GOCO OR REORG MGR	CO ADP PRXY SERVICE 51 MERCEDES WAY		EDGEWOOD	NY	11717	UK
CANTOR FITZGERALD AQUA SEC 7310	ATTN ISSUER SERVICES OR REORG MGR	135 E 57TH STREET		NEW YORK	NY	10022	US
CANTOR FITZGERALD DEBT CAPITAL 7311	ATTN ANTHONY MANZO OR REORG MGR	135 E 57TH STREET 5TH FL		NEW YORK	NY	10022	US
CANTOR FITZGERALD STOCK LOAN 5253	ATTN TOMMY SMITH OR REORG MGR	PASAJE SANTIAGO ACUFIA N 106		LIMA		0 1	US
CAVALI ICLV SA 2011	ATTN FRANCIS STENNING OR REORG MGR	600 DE MAISONNEUVE QUEST		MONTREAL	QC	H3A 3J2	US
CDS CLEARING AND DEPOSITORY 4800	ATTN RUTH TRAYNOR OR REORG MGR	600 BOULDE MAISONNEUVE OUEST	BUREAU 210	MONTREAL	QC	H3A 3J2	US
CDS CLEARING AND DEPOSITORY 5099	ATTN LORETTA VERELLI OR REORG MGR	INVESTMENT DEPRTMENT 238 MADISON ST		JEFFERSON CITY	MO	65101	US
CENTRAL TRUST BANK THE 2880	ATTN SANDY BACKES OR REORG MGR	111 WALL ST	4TH FLOOR	NEW YORK	NY	10005	US
CGM SALOMON BROTHER 0274	ATTN PATRICIA HALLER OR REORG MGR	2423 EAST LINCOLN DRIVE		PHOENIX	AZ	85016	US
CHARLES SCHWAB BANK 2993	ATTN JOSEPH ADAMS OR REORG MGR	2423 EAST LINCOLN DRIVE	1ST FLOOR	PHOENIX	AZ	85016 1215	US
CHARLES SCHWAB CO INC 0164	ATTN DEBORAH JUNG OR REORG MGR						

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Nominee Service List
Served via Overnight Mail or Next Day Business Service

NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
CHARLES SCHWAB CO INC 0164	ATTN NANCY BRIM OR REORG MGR	2423 EAST LINCOLN DRIVE	PHX PEAK 02 K130	PHOENIX	AZ	85016 1215	US
CHARLES SCHWAB CO INC 0164	ATTN CHRISTINA YOUNG OR REORG MGR	2423 EAST LINCOLN DRIVE		PHOENIX	AZ	85016 1215	US
CIBC WORLD MARKETS CORP 0438	ATTN MICHAEL CASTAGLIOLA REORG MGR	425 LEXINGTON AVENUE 5TH FLOOR		NEW YORK	NY	10017	US
CIBC WORLD MARKETS INC CDS 5030	ATTN JERRY NICASTRO OR REORG MGR	161 BAY STREET	10TH FLOOR	TORONTO	ON	M5J 2S8	US
CIBC WORLD MARKETS SLN 5223	ATTN ROBERT PUTNAM OR REORG MGR	300 MADISON AVENUE		NEW YORK	NY	10017	US
CIT SECLLC 8430	ATTN KEVIN NEWSTEAD	131 SOUTH DEARBORN STREET	35TH FLOOR	CHICAGO	IL	60603	US
CITADEL SECURITIES LLC 0395	ATTN MARCIA BANKS OR REORG MGR	131 SOUTH DEARBORN STREET		CHICAGO	IL	60603	US
CITI PRIVATE BANK 2032	ATTN ARI KOULOUTHROS OR REORG MGR	CORPORATE ACTIONS	1 COURT SQUARE	LONG ISLAND CITY	NY	11120	US
CITIBANK NA 0908	ATTN CAROLYN TREBUS OR REORG MGR	3800 CITIBANK CENTER B3 12		TAMPA	FL	33610	US
CITIBANK NA 0908	ATTN EVENTS CREATION OR REORG MGR	3800 CITIBANK CENTER B3 12		TAMPA	FL	33610	US
CITIBANK NA 0908	ATTN SANDRA HERNANDEZ OR REORG MGR	3800 CITIBANK CENTER B3 12		TAMPA	FL	33610	US
CITIBANK NA 0908	ATTN SHERIDA SINANAN OR REORG MGR	3800 CITIBANK CENTER	B 3RD FLOOR ZONE 12	TAMPA	FL	33610	US
CITIBANK NA LONDON GATS 2593	ATTN VANESSA PRICKETT OR REORG MGR	5 CARMELITE STREET		LONDON	UK	EC4Y 0PA	US
CITIBANK NA LONDON MTN 2952	ATTN VANESSA PRICKETT OR REORG MGR	5 CARMELITE STREET		LONDON		EC4Y 0PA	US
CITIGROUP GLOBAL MARKETS 0418 0505	ATTN ROSE MARIE YODICE OR REORG MGR	388 GREENWHICH STREET 11TH FLOOR		NEW YORK	NY	10013	US
CITIGROUP GLOBAL MARKETS INC 0418	ATTN PAT HALLER OR REORG MGR	111 WALL ST	6TH FLOOR	NEW YORK	NY	10005	US
CITIGROUP GLOBAL MRKT SAL 0418 0505	ATTN MANETH CHAP OR REORG MGR	3800 CITIBANK CENTER B3 12		TAMPA	FL	33610	US
CITY NATIONAL BANK 2392	ATTN EMILY WUNDERLICH OR REORG MGR	555 SOUTH FLOWER STREET 10TH FLOOR		LOS ANGELES	CA	90071	US
CL KING ASSOCIATES INC 0743	ATTN CARRIE BUSH OR REORG MGR	9 ELK STREET		ALBANY	NY	12207	US
CLEARSTREAM BANKING AG 2000	ATTN REORG Dept	4 Chase Metrotech Center		BROOKLYN	NY	11245	US
CLEARVIEW CORRESPONDENT SERVICE 702	GLORIA LIO OR REORG DEPT	30 HUDSON STREET	16TH FLOOR	JERSEY CITY	NJ	07302-0000	US
COMERICA BANK 2108	ATTN LEWIS WISOTSKY OR REORG MGR	411 WEST LAFAYETTE	MAIL CODE 3530	DETROIT	MI	48226	US
COMMERCE BANK NA 2170	ATTN CINDY LAWRENCE OR REORG MGR	922 WALNUT STREET		KANSAS CITY	MO	64106	US
COMMERZ MARKETS FXD INC REPO 0033	Attn REORG Manager	225 LIBERTY STREET		NEW YORK	NY	10281	US
COMMERZ MARKETS LLC 0126	ATTN ROBERT ORTEGA OR REORG MGR	225 LIBERTY STREET	32ND FL	NEW YORK	NY	10281	US
COMMUNITY BANK NA 5960	ATTN DEBRA LEKKI OR REORG MGR	6 RHOADS DRIVE SUITE 7		UTICA	NY	13502 6374	US
COMPASS BANK 2483	ATTN AL HART OR REORG MGR	15 SOUTH 20TH STREET 3RD FLOOR		BIRMINGHAM	AL	35233	US
COMPASS BANK TRUST DIVISION 2484	ATTN DANIEL MCHALE OR REORG MGR	15 SOUTH 20TH STREET SUITE 703		BIRMINGHAM	AL	35233	US
COMPUTERSHARE INV SVCS 7807	ATTN CLAIRE HERRING OR REORG MGR	2 N LASALLE		CHICAGO	IL	60602	US
COMPUTERSHARE TRUST CO DRP 2586	ATTN KEVIN FLEMING OR REORG MGR	250 ROYALL STREET		CANTON	MA	02021-0000	US
COMPUTERSHARE TRUST CO OP 2330	ATTN KEVIN FLEMING OR REORG MGR	250 ROYALL STREET		CANTON	MA	02021-0000	US
COMPUTERSHARE TRUST COMPANY NA 2415	ATTN LYNN HUGUET OR REORG MGR	GLOBAL TRANSACTION UNIT	250 ROYALL ST	CANTON	MA	02021-0000	US
COR LLC 0052	ATTN LUKE HOLLAND OR REORG MGR	1200 LANDMARK CENTER	SUITE 800	OMAHA	NE	68102	US
CORMARK SECURITIES INC VALEURS 5055	ATTN LISE FRANK OR REORG MGR	SUITE 3450 ROYAL BANK PLAZA S TOWER		TORONTO	ON	M5J2J0	US
COUNTRY TRUST BANK 2561	ATTN PAM LITTLE OR REORG MGR	808 IAA DRIVE		BLOOMINGTON	IL	61702	US
CREDENTIAL SECURITIES INC CDS 5083	ATTN REORG DEPARTMENT	700 1111 WEST GEORGIA ST		VANCOUVER	BC	V6E4T6	US
CREDIT AGRICOLE SECURITIES 0651	ATTN DANIEL SALCIDO OR REORG MGR	194 WOOD AVENUE SOUTH 7TH FLOOR		ISELIN	NJ	08830-0000	US
CREDIT AGRICOLE SECURITIES 5281	ATTN DOREEN MITCHELL OR REORG MGR	1301 AVENUE OF AMERICAS 3RD FLOOR		NEW YORK	NY	10019	US
CREDIT AGRICOLE SECURITIES 7372	ATTN DANIEL SALCIDO OR REORG MGR	194 WOOD AVENUE SOUTH 7TH FLOOR		ISELIN	NJ	08830-0000	US
CREDIT AGRICOLE SECURITIES 7540	ATTN DANIEL SALCIDO OR REORG MGR	194 WOOD AVENUE SOUTH 7TH FLOOR		ISELIN	NJ	08830-0000	US
CREDIT SUISSE AG 1587	ATTN JESSICA TAMBA OR REORG MGR	7033 LOUIS STEPHENS DR		MORRISVILLE	NC	27560	US

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Nominee Service List
Served via Overnight Mail or Next Day Business Service

NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
CREDIT SUISSE SECURITIES 0355	ATTN ASHWINEE SAWH OR REORG MGR	11 MADISON AVENUE	23RD FLOOR	NEW YORK	NY	10010	US
CREDIT SUISSE SECURITIES 5019	ATTN KAZI HAQ OR REORG MGR	1 FIRST CANADIAN PLACE SUITE 2900		TORONTO	ON	M5X 1C9	US
CREDIT SUISSE SECURITIES USA 0355	ATTN TIM JOHNSON OR REORG MGR	7033 LOUIS STEPHENS DRIVE		RESEARCH TRIANGLE PARK	NC	27709	US
CREDIT SUISSE SECURITIES USA 0355	ATTN ANTHONY MILO OR REORG MGR	7033 LOUIS STEPHENS DRIVE	GLOBAL PROXY SERVICES	RESEARCH TRIANGLE PARK	NC	27709	US
CREDIT SUISSE SECURITIES USA 0355	ATTN SARAH CHANDRIKA OR REORG MGR	ONE MADISON AVE		NEW YORK	NY	10010	US
CREDIT SUISSE SECURITIES USA 5292	ATTN DANIEL BYRNE OR REORG MGR	ONE MADISON AVENUE		NEW YORK	NY	10010	US
CREST INTL NOMINEES LIMITED 2012	ATTN JASON MURKIN OR REORG MGR	33 CANNON ST		LONDON	UK	EC4M 5SB	US
CREST INTL NOMINEES LIMITED 2012	ATTN NATHAN ASHWORTH OR REORG MGR	33 CANNON ST		LONDON	UK	UK EC4M 5SB	US
CREWS ASSOCIATES INC 5158	ATTN DON VICTORIA W MASON REORG MGR	521 PRESIDENT CLINTON AVE SUITE 800		LITTLE ROCK	AR	72201	US
CROWELL WEEDON CO 0574	ATTN LARRY SCHILT OR REORG MGR	624 S GRAND AVENUE	25TH FLOOR	LOS ANGELES	CA	90017	US
DA DAVIDSON CO 0361	ATTN RITA LINSKEY OR REORG MGR	8 THIRD STREET NORTH		GREAT FALLS	MT	59403	US
DAIWA CAP MKTS AMERICA DASAC 7561	ATTN LEGAL DEPT OR REORG MGR	32 OLD SLIP		NEW YORK	NY	10005	US
DAIWA CAPITAL MARKETS AMERICA 0647	ATTN LEGAL DEPT OR REORG MGR	FINANCIAL SQUARE 32 OLD SLIP 14 FL		NEW YORK	NY	10005	US
DAIWA CAPITAL MARKETS AMERICA 2800	ATTN LEGAL DEPT OR REORG MGR	FINANCIAL SQUARE 32 OLD SLIP 14 FL		NEW YORK	NY	10005	US
DAIWA SECURITIES TRUST COMPANY 0667	ATTN REORG Dept	7 Teleport Dr		Staten Island	NY	10311	US
DAVENPORT COMPANY LLC 0715	ATTN KIM NIEDING OR REORG DEPT	901 EAST CARY ST 11TH FLOOR		RICHMOND	VA	23219	US
DAVID LERNER ASSOCIATES INC 5144	ATTN GERHARDT FRANK OR REORG MGR	477 JERICO TURNPIKE 25TH FLOOR		SYOSSET	NY	11791 9006	US
DBTC AMERICAS CTAG CDFP 2808	ATTN MISSY WIMPELBERG OR REORG MGR	648 GRASSMERE PARK DRIVE		NASHVILLE	TN	37211	US
DBTC AMERICAS CTAG GES 2655	ATTN DANIEL BELEAN OR REORG MGR	60 WALL STREET 27TH FLOOR		NEW YORK	NY	10005	US
DBTC AMRICA CTAG PUTS DEMANDS 2041	ATTN KRIS PERRY OR REORG MGR	60 WALL STREET 2ND FL MAILSTOP	NYC60 0260	NEW YORK	NY	10005	US
DEPOSITO CENTRAL DE VALORES 2735	ATTN MIRNA FERNANDEZ OR REORG MGR	AVDA APOQUINDO #4001 FLOOR 12 CP		LAS CONDES SANTIAGO	CHILE	7550162	US
DESERET TRUST COMPANY 0958	ATTN S COLTON G TARBET CUSTODY SER	50 E NORTH TEMPLE STREET 15TH FLOOR	ROOM 1516	SALT LAKE CITY	UT	84150	US
DESERET TRUST COMPANY D 2118	ATTN S COLTON G TARBET CUSTODY SER	50 E NORTH TEMPLE STREET 15TH FLOOR	ROOM 1516	SALT LAKE CITY	UT	84150	US
DESERET TRUST COMPANY I 2497	ATTN S COLTON G TARBET CUSTODY SER	50 E NORTH TEMPLE STREET 15TH FLOOR	ROOM 1516	SALT LAKE CITY	UT	84150	US
DESJARDINS SECURITIES INC 5028	ATTN REORG DEPT MTL 1060 1ER E	1253 MCGILL COLLEGE AVENUE	10TH FLOOR	MONTREAL	QC	H3B2Y5	US
DEUTSCHE BANK AG NY BRANCH 2481	ATTN KRIS PERRY OR REORG MGR	60 WALL STREET 2ND FL	MAILSTOP NYC60 0260	NEW YORK	NY	10005	US
DEUTSCHE BANK SECS CEDEAR 2690	ATTN REORG DEPARTMENT	5022 GATE PARKWAY	MAILSTOP – JCK01 0124	JACKSONVILLE	FL	32256	US
DEUTSCHE BANK SECS INTL STOCK 5162	ATTN REORG MGR	60 WALL STREET		NEW YORK	NY	10005	US
DEUTSCHE BANK SECS LIMITED 1 4806	ATTN ERIC HERBST OR REORG MGR	5021 GATE PARKWAY SUITE		JACKSONVILLE	FL	32256	US
DEUTSCHE BANK SECURITIES 0573	ATTN REORG MGR	5022 GATE PARKWAY	SUITE 200	JACKSONVILLE	FL	32256	US
DEUTSCHE BANK SECURITIES BDR 2024	ATTN REORG DEPARTMENT	5022 GATE PARKWAY	MAILSTOP JCK01 0124	JACKSONVILLE	FL	32256	US
DEUTSCHE BANK TRUST CO 1080	ATTN REORG Dept	60 WALL STREET 2ND FL MAILSTOP	NYC60 0260	NEW YORK	NY	10005	US
DEUTSCHE BANK TRUST CO 1503	ATTN KRIS PERRY OR REORG MGR	60 WALL STREET 2ND FL MAILSTOP	NYC60 0260	NEW YORK	NY	10005	US
DUNDEE SECURITIES CORP CDS 5039	ATTN KAREN WINDOVER OR REORG MGR	20 QUEEN ST W	4TH FLOOR	TORONTO	ON	M5H 3R3	US
E TRANSACTION CLEARING 0873	ATTN JANE BUHAIN OR REORG MGR	660 S FIGUEROA ST	SUITE 1450	LOS ANGELES	CA	90017	US
E3M INVESTMENTS INC 5066	ATTN PIERRE CAMU OR REORG MGR	C O CANADIAN DEPOSITORY SECURITI	600 BOUL DE MAISONNEUVE QUEST	MONTREAL	QC	H3A 3J2	US
EDWARD JONES 0057	ATTN GERRI KAEMPFE OR REORG MGR	CORPORATE ACTIONS DISTRIBUTION	12555 MANCHESTER ROAD	ST LOUIS	MO	63131	US

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NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
EDWARD JONES 0057	ATTN REORG MGR	CORPORATE ACTIONS DISTRIBUTION	201 PROGRESS PARKWAY	MARYLAND HEIGHTS	MO	63043	US
EDWARD JONES 0057	ATTN DEREK ADAMS OR REORG MGR	CORPORATE ACTIONS DISTRIBUTION	12555 MANCHESTER ROAD	ST LOUIS	MO	63131	US
EDWARD JONES CDS 5012	ATTN NICK HUMMELL OR REORG MGR	700 MARYVILLE CENTRE DRIVE		ST LOUIS	MO	63141	US
EMMET COINC 5234	ATTN CHRISTOPHER EMMET SR REORG MGR	12 PEAPACK ROAD		FAR HILLS	NJ	07931-0000	US
ETRADE BANK 2782	ATTN TESSA QUINLAN OR REORG MGR	671 NORTH GLEBE ROAD		ARLINGTON	VA	22203	US
ETRADE CLEARING LLC 0385	ATTN VICTOR LAU OR REORG MGR	34 EXCHANGE PLACE	PLAZA II	JERSEY CITY	NJ	07311-0000	US
ETRADE RIDGE CLEARING 0158	ATTN BRIAN DARBY OR REORG MGR	ONE DALLAS CENTER	350 M ST PAUL SUITE 1300	DALLAS	TX	75201	US
ETRADE RIDGE CLEARING 0358	ATTN VICTOR LAU OR REORG MGR	34 EXCHANGE PLACE	501 PLAZA II	JERSEY CITY	NJ	07311-0000	US
EU CENTRAL COUNTERPARTY LTD 3064	ATTN JOHN GOODE OR REORG MGR	BROADGATE WEST 1 SNOWDEN STREET		LONDON	UK	EC2A 2DQ	US
EVERBANK 2576	ATTN LINDA DILE OR REORG MGR	8328 EAGER ROAD SUITE 300		ST LOUIS	MO	63144	US
FANNIE MAE GENERAL 2293	ATTN WELLS ENGLENDOW OR REORG MGR	3900 WISCONSIN AVENUE NW		WASHINGTON	DC	20016	US
FANNIE MAE INVESTMENT 2296	ATTN LARRY BARNETT OR REORG MGR	3900 WISCONSIN AVE NW		WASHINGTON	DC	20016	US
FED HOME LOAN MORTGAGE CORP 2391	ATTN ALEX KANGELARIS OR REORG MGR	1551 PARK RUN DR MAIL MAILSTOP D5A		MCLEAN	VA	22102	US
FEDERAL RESERVE BANK NEW YORK 3000	ATTN TIM FOGARTY OR REORG MGR	33 LIBERTY STREET		NEW YORK	NY	10045	US
FIDELITY CLEARING CANADA 5040	ATTN STEVE ADAMS OR REORG MGR	401 BAY STREET SUITE 2910		TORONTO	ON	M5H 2Y4	US
FIDELITY TRANSFER CO DRS 7842	ATTN KEVIN KOPAUNIK OR REORG MGR	1800 S WEST TEMPLE SUITE 301		SALT LAKE CITY	UT	84115	US
FIDUCIARY SSB 0987	ATTN MIKE FEELEY ROB RAY PROXY MGR	CORP ACTIONS JAB5E 1776 HERITAGE	DRIVE NORTH	QUINCY	MA	02171-0000	US
FIDUCIARY TRUST COMPANY BOSTON 2126	ATTN BRAD FINNIGAN OR REORG MGR	175 FEDERAL STREET		BOSTON	MA	02110-0000	US
FIDUCIE DESJARDINS INC 4818	ATTN MARTINE SIOUI OR REORG MGR	1 COMPLEXE DESJARDINS	SOUTH TOWER 2ND FL	MONTREAL	QC	H5B 1E4	US
FIFTH THIRD BANK THE 2116	ATTN CARRIE POTTER OR REORG MGR	5001 KINGSLEY DR MAIL DROP 1M0B2D		CINCINNATI	OH	45227	US
FIFTH THIRD BANK THE 2116	ATTN LANCE WELLS OR REORG MGR	5001 KINGSLEY DRIVE	MAIL DROP 1M0B2D	CINCINNATI	OH	45227	US
FIRST BANK 2400	ATTN CHERIE LEAHY OR REORG MGR	800 JAMES S MCDONNELL BLVD		HAZELWOOD	MO	63042	US
FIRST BUSEY CORPORATION DRS 7910	ATTN MARY LAKEY OR REORG MGR	201 WEST MAIN STREET		URBANA	IL	61801	US
FIRST CLEARING 0141	ATTN FINESSA ROSSON OR REORG DEPT	1 NORTH JEFFERSON 9 F		ST LOUIS	MO	63103	US
FIRST CLEARING ABRAMSON ACCTS 0521	ATTN REORG DEPARTMENT	2801 MARKET STREET	H0006 08N	ST LOUIS	MO	63103	US
FIRST CLEARING LLC 0141	ATTN MATT BUETTNER OR REORG DEPT	2801 MARKET STREET	H0006 09B	ST LOUIS	MO	63103	US
FIRST CLEARING SEYMOUR COHN 5183	ATTN ISSUER SERVICES OR REORG MGR	CO ADP PRXY SERVICE 51 MERCEDES WAY		EDGEWOOD	NY	11717	US
FIRST FINANCIAL CORP DRS 7896	ATTN TICIA WRIGHT OR REORG MGR	ONE FIRST FINANCIAL PLAZA		TERRE HAUTE	IN	47807	US
FIRST NATIONAL BANK OF OMAHA 2254	ATTN JOHN STEWART OR REORG MGR	1620 DODGE STREET		OMAHA	NE	68102	US
FIRST SOUTHWEST COMPANY 0309	ATTN JAMES FURINO OR REORG MGR	1700 PACIFIC AVENUE SUITE 500		DALLAS	TX	75201	US
FIRST SOUTHWEST COMPANY 0309	ATTN KEVIN MILLER OR REORG MGR	911 W LOOP 281 STE 411		LONGVIEW	TX	75604	US
FIRST SOUTHWEST COMPANY 0309	ATTN DONALD KRAS OR REORG MGR	325 NORTH ST PAUL STREET		DALLAS	TX	75201	US
FIRST TENNESSEE BNK NA MEMPHIS 2445	ATTN SHIRLEY PARKER OR REORG MGR	845 CROSSOVER LANE SUITE 150		MEMPHIS	TN	38117	US
FOLIO FN INVESTMENTS INC 0728	ATTN ASHLEY THEOBALD OR REORG MGR	8180 GREENSBORO DRIVE 8TH FLOOR		MCLEAN	VA	22102	US
FORTIS CLEARING AMERICA RETAIL 0541	ATTN SUE NOWLICKI OR REORG MGR	175 W JACKSON BLVD SUITE 400		CHICAGO	IL	60605	US
FORTIS CLEARING AMERICAS CPM 0330	ATTN SUE NOWICKI OR REORG MGR	175 W JACKSON BLVD SUITE 400		CHICAGO	IL	60604	US
FORTIS CLEARING AMERICAS LLC 0695	ATTN SUE NOWLICKI OR REORG MGR	175 W JACKSON BLVD SUITE 400		CHICAGO	IL	60605	US
FORTIS CLEARING AMERICAS LTG 0524	ATTN JIM HALM OR REORG MGR	175 W JACKSON BLVD SUITE 400		CHICAGO	IL	60604	US
FRONTIER TRUST COMPANY 2563	ATTN BRIAN REINKE OR REORG MGR	1126 WESTRAC DRIVE		SOUTH FARGO	ND	58103	US
FTN FINANCIAL SECURITIES CORP 0202	ATTN MICHAEL INKSTER OR REORG MGR	845 CROSSOVER LANE SUITE 150		MEMPHIS	TN	38117	US
GENE BANFI 8238	ATTN GENE BANFI	525 WASHINGTON BLVD		JERSEY CITY	NJ	07310-0000	US

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NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
GLENMEDE TRUST CO 2139	ATTN DARLENE WARREN OR REORG MGR	ONE LIBERTY PLACE SUITE 1200	1650 MARKET STREET	PHILADELPHIA	PA	19103	US
GMP SECURITIES LP 5016	ATTN TERRY YOUNG OR REORG MGR	145 KING STREET WEST SUITE 1100		TORONTO	ON	M5H 1J8	US
GOLDMAN SACHS 0005 5208 2941	ATTN ALEXANDER MUCHNIK OR REORG MGR	30 HUDSON STREET		JERSEY CITY	NJ	07302-0000	US
GOLDMAN SACHS BANK 2941	ATTN PATRICIA BALDWIN OR REORG MGR	ONE NEW YORK PLAZA 45TH FLOOR		NEW YORK	NY	10004	US
GOLDMAN SACHS CO 0005	ATTN ALEX MUCHNIK OR REORG MGR	30 HUDSON ST	PROXY DEPARTMENT	JERSEY CITY	NJ	07302 0000	US
GOLDMAN SACHS GSEC 0501	ATTN ANTHONY BRUNO OR REORG MGR	30 HUDSON STREET		JERSEY CITY	NJ	07302-0000	US
GS BK AGENCY LENDING 2660	ATTN Michael Peek OR REORG MGR	30 Hudson Street 5th Floor		Jersey City	NJ	07302 4699	US
GSECLP EQUITIES EXECUTION 0048	ATTN ANTHONY BRUNO OR REORG MGR	30 HUDSON ST		JERSEY CITY	NJ	07302-0000	US
HARRIS NA 2697	MINA GARCIA OR REORG DEPT	SECURITIES MOVEMENT	111 WEST MONROE	CHICAGO	IL	60603	US
HARRIS NA DEALER 2559	ATTN LENORA NEWELL OR REORG MGR	111 WEST MONROE		CHICAGO	IL	60690	US
HARTFIELD TITUS DONNELLY LLC 0451	ATTN JIM DOUGLAS OR REORG MGR	111 PAVONIA AVENUE SUITE 1430		JERSEY CITY	NJ	07310-0000	US
HAYWOOD SECURITIES INC CDS 5058	ATTN TRACY COLLEGE OR REORG MGR	400 BURRARD STREET SUITE 2000		VANCOUVER	BC	V6C 3A6	US
HAYWOOD SECURITIES INC CDS 5058	ATTN M DRYHURST OR REORG MGR	20TH FLOOR COMMERCE PLACE	400 BURRARD STREET	VANCOUVER	BC	V6C 3A8	US
HC DENISON CO 8100	ATTN MARGE WENTZEL OR REORG MGR	618 N 7TH STREET		SHEBOYGAN	WI	53081	US
HICKORY POINT B T DRS 7840	ATTN PATRICIA PERKINS OR REORG MGR	225 N WATER STREET		DECATUR	IL	62523	US
HOME FED BK HOME FIN SVCS 2447	ATTN WALTER BOWES JR OR REORG MGR	507 MARKET STREET		KNOXVILLE	TN	37902	US
HOME FED BK OF TENNESSEE TRUST 2534	ATTN SHERRY ELLIS OR REORG MGR	515 MARKET STREET SUITE 500		KNOXVILLE	TN	37902	US
HOME FEDERAL BANK HF PORTFOLIO 2533	ATTN WALTER BOWER JR OR REORG MGR	507 MARKET STREET		KNOXVILLE	TN	37902	US
HOME FEDL BK OF TENNESSEE 2425	ATTN REBECCA BUCKNER OR REORG MGR	507 MARKET STREET		KNOXVILLE	TN	37902	US
HSBC BANK CORP TRUST 2894	ATTN SYLVIA NAGAN	250 UNIVERSITY AVENUE	8TH FLOOR	TORONTO	ON	M5H 3H5	US
HSBC BANK NA 2165	ATTN JOSEPH CAMPANA OR REORG MGR	ONE HSBC CENTER 17TH FLOOR		BUFFALO	NY	14203	US
HSBC BANK NA 2393	ATTN JOHN HICKEY OR RENEE FRANCISCO	545 Washington Blvd 10th Floor		Jersey City	NJ	07310-0000	US
HSBC BANK NA 2412	ATTN KEN LUND OR REORG MGR	1 WEST 39TH STREET 7TH FLOOR		NEW YORK	NY	10018	US
HSBC BANK NA HSBC NASSAU 2202	ATTN MARVA MATTHEW DURDEN REORG MGR	1 WEST 39TH STREET		NEW YORK	NY	10018	US
HSBC BANK USA NA IPB 2122	ATTN REORG OR CORP ACTIONS MGR	452 5TH AVENUE	6TH FLOOR	NEW YORK	NY	10018	US
HSBC CCSLB 1950	ATTN ENRIQUE VIDAL	452 Fifth Avenue		NEW YORK	NY	10018	US
HSBC CLEAR 8396	ATTN BARBARA SKELLY	545 WASHINGTON BLVD	10TH FLOOR	JERSEY CITY	NJ	07302-0000	US
HSBC SECURITIES INC 0486	ATTN CHRIS ARMATO OR REORG MGR	1 WEST 39TH STREET		NEW YORK	NY	10018	US
HSBC SECURITIES INC 0816	ATTN CHRIS ARMATO OR REORG MGR	1 WEST 39TH STREET		NEW YORK	NY	10018	US
HUNTINGTON BK OHIO POLICE 2219	ATTN BEVERLY REYNOLDS OR REORG MGR	7 EASTON OVAL EA4E62		COLUMBUS	OH	43219	US
HUNTINGTON BK SCHOOL 2898	ATTN BEVERLY REYNOLDS OR REORG MGR	7 EASTON OVAL EA4E62		COLUMBUS	OH	43219	US
HUNTINGTON NATIONAL BANK 2305	ATTN ALLAN BURKHART OR REORG MGR	7 EASTON OVAL EA4 E78		COLUMBUS	OH	43219	US
HUTCHINSON SHOCKEY ERLEY CO 6963	ATTN NANCY MEIER OR REORG MGR	222 WEST ADAMS SUITE 1700		CHICAGO	IL	60606	US
ICBCF SERVICE LLC EQUITY CLEAR 0824	ATTN PROXY MGR	PARAMOUNT PLAZA 1633 BROADWAY		NEW YORK	NY	10019	US
ICBCFS LLC 0388	ATTN NENRY NAPIER	1633 BROADWAY		NEW YORK	NY	10019	US
ING BANK FSB 2604	ATTN KAMCHAI LEUNG OR REORG MGR	802 DELAWARE AVENUE		WILMINGTON	DE	19801	US
INTERACTIVE BRKER TH 0549 0534 0017	ATTN KARIN MCCARTHY OR REORG MGR	2 GREENWICH OFFICE PARK	2ND FLOOR BUILDING 8	GREENWICH	CT	06830-0000	US
INTERACTIVE BROKERS TH 0534	ATTN KARIN MCCARTHY OR REORG MGR	8 GREENWICH OFFICE PARK		GREENWICH	CT	06831-0000	US
INTL BANK OF COMMERCE DRS 7887	ATTN EILZA GONZALEZ OR REORG MGR	1200 SAN BERNARDO AVENUE		LAREDO	TX	78040	US
INTL FCSTONE INC 0750	ATTN KEN SIMPSON OR REORG MGR	2 PERIMETER PARK SOUTH STE 100 W		BIRMINGHAM	AL	35243	US
INTRACTIVE BRKER RETAIL EQTY CL 534	MARIA TARDIO OR REORG DEPT	1 PICKWICK PLAZA		GREENWICH	CT	06830-0000	US
ITG INC SECS LENDING 7539	ATTN REORG MGR	1 LIBERTY PLAZA 5ND FLOOR		New York	NY	10006	US
JAMES I BLACK COMPANY 7031	ATTN KATHY BIRD OR REORG MGR	311 SOUTH FLORIDA AVENUE		LAKELAND	FL	33801	US
JANNEY MONTGOMERY SCOTT INC 0374	ATTN REGINA LUTZ OR REORG MGR	1801 MARKET STREET	9TH FLOOR	PHILADELPHIA	PA	19103 1675	US
JANNEY MONTGOMERY SCOTT INC 0374	ATTN BOB MARTIN OR REORG MGR	1801 MARKET STREET	9TH FLOOR	PHILADELPHIA	PA	19103 1675	US
JANNEY MONTGOMERY STOCK LOAN 7320	ATTN JACK LUND OR REORG MGR	1717 ARCH STREET		PHILADELPHIA	PA	19103 2713	US

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JAPAN SECURITIES DEPO CENTER 5600	ATTN SYLVIA ANTONIO OR REORG MGR	18301 BERMUDA GREEN DRIVE 2ND FLOOR		TAMPA	FL	33647	US
JEFFERIES CO EXECUTION 0535	ATTN ALFRED PETRILLO OR REORG MGR	HARBORSIDE FINANCIAL CENTER	PLAZA III SUITE 704	JERSEY CITY	NJ	07311-0000	US
JEFFERIES CO SECURITIES 7565	ATTN JONATHAN CHRISTON OR REORG MGR	34 EXCHANGE PL PLAZA III SUITE 705		JERSEY CITY	NJ	07311-0000	US
JEFFERIES CO SERVICE BUREAU 0536	ATTN MARIE RAMIREZ OR REORG MGR	HARBORSIDE FINANCIAL CENTER	PLAZA III SUITE 704	JERSEY CITY	NJ	07311-0000	US
JEFFERIES COMPANY AS AGENT 7441	ATTN JONATHAN CHRISTON OR REORG MGR	HARBORSIDE FINANCIAL CENTER	PLAZA III SUITE 705	JERSEY CITY	NJ	07303-0000	US
JEFFERIES COMPANY INC 0019	ATTN RAY DESOUZA OR REORG MGR	HARBORSIDE FINANCIAL CENTER 705	PLAZA 3	JERSEY CITY	NJ	07311-0000	US
JEFFERIES COMPANY INC 0019	ATTN REORG MGR	34 EXCHANGE PLACE		JERSEY CITY	NJ	07311-0000	US
JJB HILLIARD WL LYONS LLC 0768	ATTN RYAN HARDIN OR REORG MGR	500 WEST JEFFERSON ST	6TH FLOOR	LOUISVILLE	KY	40202	US
JOHN A SIBERELL CO 7014	ATTN JOHN SIBERELL OR REORG MGR	824 KEY BANK BLDG 202 S MICHIGAN ST		SOUTH BEND	IN	46601	US
JONES GABLE CO LTD CDS 5070	ATTN LORI WRIGHT OR REORG MGR	110 YONGE STREET SUITE 600		TORONTO	ON	M5C 1T6	US
JP MORGAN CLEARING CORP 0352	ATTN ERIC OSZUSTOQICZ OR REORG MGR	3 CHASE METROTECH CENTER	PROXY DEPT NY1 H034	BROOKLYN	NY	11245 0001	US
JP MORGAN CLEARING CORP 0352	ATTN ABHISHEK KUMAR OR REORG MGR	500 STANTON CHRISTIANA ROAD	3RD FLOOR	NEWARK	DE	19713	US
JP MORGAN CLEARING CORP 0352	ATTN BRODERICK WALKER OR REORG MGR	DEPT C CASHIER DEPRTMNT 1 METROTECH	CENTER NORTH REORG DEPT 4TH FLOOR	BROOKLYN	NY	11201 3862	US
JP MORGAN SECS VENTURES CORP 7489	ATTN GARY GABRYSH OR REORG MGR	500 STANTON CHRISTIANA RD DE3 4680		NEWARK	DE	19713 2107	US
JP MORGAN SECURITIES 0187	BRIAN GILBERT OR REORG DEPT	500 STANTON CHRISTIANA ROAD	OPS 4TH FLOOR	NEWARK	DE	19713 2107	US
JP MORGAN SECURITIES INC 0060	ATTN ERIC ALSOP OR REORG MGR	500 STANTON CHRISTIANA RD		NEWARK	DE	19713	US
JP MORGAN SECURITIES INC 0307	ATTN GREGORY SCHRON OR REORG MGR	270 PARK AVENUE		NEW YORK	NY	10017	US
JP MORGAN SECURITIES INC SL 5202	ATTN ERIC ALSOP OR REORG MGR	500 STANTON CHRISTIANA RD		NEWARK	DE	19713	US
JP MORGAN SECURITIES INC WF 5245	ATTN ERIC ALSOP OR REORG MGR	500 STANTON CHRISTIANA RD		NEWARK	DE	19713	US
JPM ASSET 8861	ATTN LAURA LOGEMAN	4 NEW YORK PLAZA		NEW YORK	NY	10004	US
JPM CHASE AG DEPOSITARY BK 2865	ATTN FRED COHEN OR REORG MGR	500 CHRISTIANA RD FL 3	MORGAN CHRISTIANA CENTER OPS 4	NEWARK	DE	19702	US
JPM CLEARING CORP LENDING 5213	ATTN GREGORY SCHRON OR REORG MGR	ONE METROTECH CENTER NORTH		BROOKLYN	NY	11201	US
JPM SECURITIES CANADA INC 4808	ATTN SHEERA BADIAL OR REORG MGR	200 BAY ST STE 1800 ROYAL BANK	PLAZA S TWR	TORONTO	ON	M5J 2J2	US
JPMC BANK VANGUARD LOANET 2433	ATTN PAULA JONES OR REORG MGR	14201 DALLAS PARKWAY		DALLAS	TX	75254	US
JPMC BLKRK 3622	ATTN SACHIN GOYAL	500 STANTON CHRISTIANA ROAD OPS 4	FLOOR 02	NEWARK	DE	19713	US
JPMC DBTC AMERICAS UK BANK LTD 2314	ATTN MARIA SASINOSKI OR REORG MGR	525 WILLIAM PENN PLACE ROOM 0300		PITTSBURGH	PA	15259	US
JPMC EURO 1970	ATTN DTC CUSTODY LINE SACHIN GOYAL	500 STANTON CHRISTIANA ROAD		NEWARK	DE	19713	US
JPMC JP MORGAN INTERNATIONAL 2035	ATTN REORG MGR	4 NEW YORK PLAZA 11TH FLOOR		NEW YORK	NY	10004	US
JPMCB HSBC BANK 2554	ATTN DRALAN PORTER OR REORG MGR	14201 DALLAS PARKWAY 12TH FLOOR		DALLAS	TX	75254	US
JPMCH CTC 0902	Attn Jeff Lazarus	4 Chase Metrotech Plaza	3rd Floor	Brooklyn	NY	11245	US
JPMCH CTC 2424	Attn Gene Banfi or Reorg Mgr	4 Chase Metrotech Center	3rd Fl NY1 C083	BROOKLYN	NY	11245 0001	US
JPMORGAN BROKER DEALER SVCS 2811	ATTN NORE SCARLETT OR REORG MGR	4 NEW YORK PLAZA 11TH FLOOR		NEW YORK	NY	10004	US
JPMORGAN CHASE BANK ADR 0923	ATTN BRIAN GILBERT OR REORG MGR	500 STANTON CHRISTINA ROAD		NEWARK	DE	19713	US
JPMORGAN CHASE BANK IA 2357	ATTN SUSHIL PATEL OR REORG MGR	14201 DALLAS PARKWAY SUITE 121		DALLAS	TX	75254	US
JPMORGAN CHASE BANK JP MORGAN 2379	ATTN MARIA SASINOSKI OR REORG MGR	525 WILLIAM PENN PLACE ROOM 0300		PITTSBURGH	PA	15259	US
JPMORGAN CHASE BANK NA 0902 2164	Attn Jeff Lazarus Corp Action Mgr	4 Chase Metrotech Center	3rd Fl NY1 C094	Brooklyn	NY	11245 0001	US
JPMORGAN CHASE BANK NA JPMO 2740	ATTN GARY GABRYSH OR REORG MGR	500 STANTON CHRISTIANA RD DE3 4680		NEWARK	DE	19713	US
JPMORGAN CHASE BANK PRUDENTIAL 2517	ATTN REORG MGR	PARADIGM B WING FL 6	MINDSPACE MALAD W	MUMBAI	INDIA	400 064 100000	US

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JPMORGAN CHASE BANK TRUST CO 2849	ATTN REORG MGR	PARADIGM B WING FLOOR 6	MINDSPACE MALAD W	MUMBAI		400 064 100000	US
JPMORGAN CHASE BNK NAPERS OHIO 8187	ATTN MARCIN BIEGANSKI	14201 DALLAS PARKWAY 12TH FL	CORPORATE ACTIONS DEPT	DALLAS	TX	75254	US
JPMORGAN CHASE BNK SUSQUEHANNA 2060	ATTN DIANE MCGOWAN OR REORG MGR	3 METROTECH 5TH FLOOR		BROOKLYN	NY	11245	US
JPMORGAN CHASE DBTC AMERICAS 2312	ATTN DIANE MCGOWAN OR REORG MGR	4 NEW YORK PLAZA 11TH FLOOR		NEW YORK	NY	10004	US
JPMORGAN CHASE FIMAT CU 2945	ATTN REORG MGR	PARADIGM B WING FLOOR 6	MAINDSpace MALAD W	MUMBAI		400 064 100000	US
JPMORGAN CHASE FIMAT MB 2946	ATTN REORG MGR	PARADIGM B WING FLOOR 6	MINDSPACE MALAD W	MUMBAI		400 064 100000	US
JPMORGAN CHASE FIMAT RM 2944	ATTN REORG MGR	PARADIGM B WING FLOOR 6	MINDSPACE MALAD W	MUMBAI		400 064 100000	US
JPMORGAN CHASE GNPH MIDDLE MKT 2434	ATTN SN REISING OR REORG MGR	100 N BROADWAY		OKLAHOMA CITY	OK	73102	US
JPMORGAN CHASE JPMORGAN CHASE 1573	ATTN DIANE MCGOWAN OR REORG MGR	3 METROTECH 5TH FLOOR		BROOKLYN	NY	11245	US
JPMORGAN CHASE JPMORGAN EUROPE 2354	ATTN MONICA WEMER OR REORG MGR	500 STANTON CHRISTIANA ROAD		NEWARK	DE	19713	US
JPMORGAN CHASE MET LIFE LOANET 2973	ATTN PAULA JONES OR REORG MGR	14201 DALLAS PARKWAY		DALLAS	TX	75254	US
JPMORGAN CHASE PUBLIC EMPLOYEE 2975	ATTN REORG MGR	PARADIGM B WING FL 6	MINDSPACE MALAD	MUMBAI		400 064 100000	US
JPMORGAN CHASE RBS 2038	ATTN DIANE MCGOWAN OR REORG MGR	3 METROTECH 5TH FLOOR		BROOKLYN	NY	11245	US
JPMORGAN CHASE TREASURER OHIO 2609	ATTN WENDY WUJCIKOWSKI OR REORG MGR	14201 DALLAS PARKWAY		DALLAS	TX	75254	US
JPMORGAN CHASE US EQ TRP 2612	ATTN SERGIO MONTILLO OR REORG MGR	500 STANTON CHRISTIANA ROAD		NEWARK	DE	19713	US
JPMORGAN CHEMICAL COMMERC 1506	ATTN DIANE MCGOWAN OR REORG MGR	3 METROTECH 5TH FLOOR		BROOKLYN	NY	11245	US
KEYBANC CAPITAL MARKETS INC 0799	ATTN KAREN BEDNARSKI OR REORG MGR	4900 TIEDEMAN MAIL CODE	OH 01 49 0230	BROOKLYN	OH	44114	US
KEYBANK 2205	ADAM BORYENACE OR REORG DEPT	4900 TIEDEMAN ROAD		BROOKLYN	OH	44144	US
KEYBANK NA FBO TREASURER OHIO 2769	ATTN SCOTT MACDONALD OR REORG MGR	4900 TIEDEMAN ROAD OH 01 49 310		BROOKLYN	OH	44144	US
KEYBANK SAFEKEEPING 0557	ATTN RAYMOND HANNAN OR REORG MGR	4900 TIEDEMAN ROAD OH 01 49 0240		BROOKLYN	OH	44144	US
KNIGHT CLEARING SERVICES LLC 0295	ATTN JANICA BRINK OR REORG MGR	545 WASHINGTON BLVD		JERSEY CITY	NJ	07310-0000	US
LAKESIDE BANK 2545	ATTN MICHAEL CAULEY OR REORG MGR	141 WEST JACKSON BLVD SUITE 130A		CHICAGO	IL	60604	US
LAW DEBENTURE 2216	ATTN REORG Dept	801 2nd Avenue Suite 403		New York	NY	10017	US
LEEDE FINANCIAL MARKETS 5071	ATTN CRAIG GOODWIN OR REORG MGR	FIRST ALBERTA PLACE 777	8TH AVENUE SW SUITE 2300	CALGARY	AB	T2P 3R5	US
LEK SECURITIES CORPORATION 0512	ATTN DANIEL HANUKA OR REORG MGR	1 LIBERTY PLAZA 52ND FLOOR		NEW YORK	NY	10006	US
LPL FINANCIAL CORPORATION 0075	ATTN CORPORATE ACTIONS	1055 LPL WAY		FORT MILL	SC	29715	US
M I MARSHALL ILSLEY BANK 0992	ATTN REORG MGR	111 W MONROE ST		CHICAGO	IL	60603	US
MACKIE RESEARCH 5029	ATTN TONY RODRIGUES OR REORG MGR	199 BAY STREET COMMERCE COURT	WEST SUITE 4600	TORONTO	ON	M5L 1G2	US
MACQUARIE CAPITAL INC 0114	ATTN PATRICK CERMAK OR REORG MGR	125 WEST 55TH STREET 23RD FLOOR		NEW YORK	NY	10019	US
MANUFACTURERS AND TRADERS 0990	ATTN DON SCHMIDT OR REORG MGR	ONE M T PLAZA	8TH FLOOR	BUFFALO	NY	14240	US
MANUFACTURERS TRADERS TRUST 2382	ATTN RONALD SMITH OR REORG MGR	ONE M T PLAZA 3RD FLOOR	TREASURY OPERATIONS	BUFFALO	NY	14203	US
MANULIFE SECURITIES CDS 5047	ATTN REORG MGR	85 RICHMOND STREET WEST		TORONTO	ON	M5H 2C9	US
MAPLE SECURITIES CANADA CDS 5072	ATTN JEFF CARR OR REORG MGR	79 WELLINGTON STREET WEST		TORONTO	ON	M5K 1K7	US
MAPLE SECURITIES DOMES 5239	ATTN MARISOL MANSO OR REORG MGR	10 EXCHANGE PL SUITE 2600		JERSEY CITY	NJ	07302-0000	US
MARSCO INVESTMENT CORPORATION 0287	ATTN KAREN JACOBSEN OR REORG MGR	101 EISENHOWER PARKWAY		ROSELAND	NJ	07068 0000	US
MELLON LNDN GLBL MKT 2485 2281 2504	ATTN REORG DEPT	ONE CANADA SQUARE		LONDON		E14 5AL	US
MERCHANT CAPITAL LLC 6733	ATTN BELINDA WILSON OR REORG MGR	LAKEVIEW CENTER SUITE 400	2660 EAST CHASE LANE	MONTGOMERY	AL	36117	US
MERRILL LYNCH 0161 5198	ATTN K BANACH OR CORP ACTION NOTIFI	4804 DEAR LAKE DR E		JACKSONVILLE	FL	32246	US
MERRILL LYNCH 5143	ATTN EARL WEEKS OR REORG MGR	4804 DEER LAKE DR E		JACKSONVILLE	FL	32246	US
MERRILL LYNCH GOV SECS 5193	ATTN TONY LAYNE OR REORG MGR	WORLD FINANCIAL CENTER NORTH TOWER		NEW YORK	NY	10281 1212	US
MESIROW FINANCIAL INC 0727	ATTN HAVANA GILES OR REORG MGR	353 NORTH CLARK ST		CHICAGO	IL	60654	US
MG TRUST COMPANY LLC 5954	ATTN CHRISTINE DAWSON OR REORG MGR	700 17TH STREET SUITE 200		DENVER	CO	80016	US
MIZUHO CORPORATE BANK 1577	ATTN RAMON ROSARIO OR REORG MGR	HARBORSIDE FINANCIAL CENTER	1800 PLAZA TEN	JERSEY CITY	NJ	07311-0000	US

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NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
MIZUHO CORPORATE BANK 2539	ATTN RAMON ROSARIO OR REORG MGR	HARBORSIDE FINANCIAL CENTER 1800	PLAZA TEN	JERSEY CITY	NJ	07311-0000	US
MIZUHO SECURITIES INC 0892	ATTN REORG Dept	1251 Avenue of the Americas		New York	NY	10020	US
MLPFS 0671	ATTN EARL WEEKS	4804 DEERLAKE DR E		JACKSONVILLE	FL	32246	US
MONTE TITOLI SPA 2008	ATTN MAURO CASTELLAZZI OR REORG MGR	VIA MANTEGNA 6		MILANO	ITALY	20154	US
MORGAN KEEGAN COMPANY 0780	ATTN JOHN CAMPBELL OR REORG MGR	50 NORTH FRONT STREET	4TH FLOOR	MEMPHIS	TN	38103	US
MORGAN STANLEY CO 0015	ATTN RAQUEL DEL MONTE OR REORG MGR	ONE NEW YORK PLAZA	41st FLOOR	NEW YORK	NY	10004	US
MORGAN STANLEY CO 50 2187 2267	ATTN RAQUEL DEL MONTE OR REORG MGR	ONE NEW YORK PLAZA	41st FLOOR	NEW YORK	NY	10004	US
MORGAN STANLEY CO 6635 7309	ATTN RAQUEL DEL MONTE OR REORG MGR	ONE NEW YORK PLAZA	41st FLOOR	NEW YORK	NY	10004	US
MORGAN STANLEY CO II 5127	ATTN DAN SPADACCINI OR REORG MGR	901 SOUTH BOND STREET 6TH FLOOR		BALTIMORE	MD	21231	US
MORGAN STANLEY CO INTL PLC 7309	ATTN DAN SPADACCINI OR REORG MGR	901 SOUTH BOND ST 6TH FL		BALTIMORE	MD	21231	US
MORGAN STANLEY CO INTL PLC 7309	ATTN BRIAN O'DOWD OR REORG MGR	901 SOUTH BOND STREET 6TH FLOOR		BALTIMORE	MD	21231	US
MORGAN STANLEY CO INTL PLC 7309	ATTN GREGORY CONTALDI OR REORG MGR	901 SOUTH BOND STREET 6TH FLOOR		BALTIMORE	MD	21231	US
MS SECURITIES SERVICES INC 0101	ATTN BRIAN O'DOWD OR REORG MGR	901 SOUTH BOND STREET 6TH FLOOR		BALTIMORE	MD	21231	US
NATIONAL BANK SOUTH CAROLINA 2578	ATTN MARCIA KNOX OR REORG MGR	1 BROAD STREET		SUMTER	SC	29150	US
NATIONAL FINANCIAL SERVICE LLC 0226	ATTN SEAN COLE OR REORG MGR	499 WASHINGTON BLVD	5TH FLOOR	JERSEY CITY	NJ	07310-0000	US
NATIONAL FINANCIAL SERVICE LLC 0226	ATTN KARL BAKER OR REORG MGR	499 WASHINGTON BLVD	5TH FLOOR	JERSEY CITY	NJ	07310-0000	US
NATIONAL SECURITIES CLEARING 0888	ATTN JANICE GREGORY OR REORG MGR	55 WATER STREET 22ND FLOOR		NEW YORK	NY	10041	US
NATL BANK OF S CAROLINA 2579	ATTN PAMELA GEDDINGS OR REORG MGR	1 BROAD STREE		SUMTER	SC	29151	US
NBC FINAN 8353	ATTN DANNY DESPATIE	65 EAST 55TH STREET 31ST FLOOR		NEW YORK	NY	10022	US
NBC SECURITIES INC 0306	ATTN DEBBIE HARDIN OR REORG MGR	1927 FIRST AVENUE NORTH		BIRMINGHAM	AL	35203	US
NBCN CLEARING INC CDS 5032	ATTN ANNIE MAH OR REORG MGR	85 RICHMOND STREET WEST		TORONTO	ON	M5H 2C9	US
NBCN INC CDS 5008	ATTN BENOIT HENAUULT OR REORG MGR	1010 RUE DE LA GAUCHETIERE ST WEST	SUITE 1925	MONTREAL	QC	H3B 5J2	US
NBT BANK NA 7861	ATTN HOLLY CRAVER OR REORG MGR	20 MOHAWK STREET		CANAJOHARIE	NY	13317	US
NEWEDGE CANADA INC CDS 5003	ATTN GAETAN HEBERT OR REORG MGR	1501 MCGILL COLLEGE SUITE 1901		MONTREAL	PQ	H3A 3M8	US
NOMURA SECURITIES 0180 7507 7584	ISSUER SERVICES	309 WEST 49TH STREET	10TH FLOOR	NEW YORK	NY	10019 7316	US
NOMURA SECURITIES 0180 7507 7584	ATTN HERNAN SANCHEZ OR REORG MGR	309 WEST 49TH STREET	WORLDWIDE PLAZA 10TH FLOOR	NEW YORK	NY	10019	US
NOMURA SECURITIES 7507	ATTN HERNAN SANCHEZ OR REORG MGR	WORLDWIDE PLAZA	309 W 49TH ST 10TH FLOOR	NEW YORK	NY	10019	US
NORTHERN TRUST CO 2669	ATTN ROBERT VALENTIN OR REORG MGR	801 S CANAL STREET	FLOOR C1N	CHICAGO	IL	60607	US
NORTHERN TRUST CO 2669	ATTN ANDREW LUSSEN OR REORG MGR	801 S CANAL STREET	FLOOR C1N	CHICAGO	IL	60607	US
NSCC CONTROL ACCT 3 0825	ATTN WALLACE BOWLING OR REORG MGR	55 WATER STREET 22ND FLOOR		NEW YORK	NY	10041	US
NTHRN FFA 2778	ATTN PENNY PETERSON	50 S LASALLE STREET		CHICAGO	IL	60675	US
NTRS SAFEKEEPING 2684	ATTN SUE STIMAC OR REORG MGR	50 SOUTH LASALLE STREET LEVEL A		CHICAGO	IL	60675	US
NTRS UNITED NATION 2602	ATTN JOE SWANSON OR REORG MGR	801 S CANAL C IN		CHICAGO	IL	60607	US
NUVEEN INVESTMENTS LLC 0448	ATTN MICHAEL KARKULA OR REORG MGR	333 WEST WACKER DRIVE 32ND FLOOR		CHICAGO	IL	60606	US
OCTAGON CAPITAL CORP CDS 5073	ATTN CARLENE GARRISON OR REORG MGR	181 UNIVERSITY AVENUE SUITE 400		TORONTO	ON	M5H 3M7	US
ODLUM BROWN LIMITED CDS 5074	ATTN RON RAK OR REORG MGR	250 HOWE ST	SUITE 1100	VANCOUVER	BC	V6C 3T4	US
OLD SECOND BANCORP INC DRS 7866	ATTN ROBIN HODGSON OR REORG MGR	37 S RIVER STREET		AURORA	IL	60506	US
OPPENHEIMER CO INC 0571	ATTN OSCAR MAZARIO OR REORG MGR	85 BROAD STREET	4TH FLOOR	NEW YORK	NY	10004	US
OPPENHEIMER CO INC 0571	ATTN COLIN SANDY OR REORG MGR	125 BROAD STREET	15TH FLOOR	NEW YORK	NY	10004	US
OPPENHEIMER CO INC 0571 0303	ATTN OSCAR NAZARIO OR REORG MGR	85 BROAD STREET 4TH FL		NEW YORK	NY	10004	US
OPTIONS CLEARING 0981	ATTN REORG Dept	125 S Franklin Street Suite 1200		Chicago	IL	60606	US

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NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
OPTIONS CLEARING CORP OCC 0982	ATTN ANDREW PANARAS OR REORG MGR	ONE NORTH WACKER DRIVE SUITE 500		CHICAGO	IL	60606	US
OPTIONSPRESS INC 0338	ATTN SCOTT JOHNSON OR REORG MGR	311 W MONROE STREET		CHICAGO	IL	60606	US
PENSCO TRUST COMPANY 5998	ATTN PROXY MGR	1560 BROADWAY SUITE 400		DENVER	CO	80202 3308	US
PENSON FINANCIAL CDS 5063	ATTN ROBERT MCPHEARSON OR REORG MGR	330 BAY ST SUITE 711		TORONTO	ON	M5H 2S8	US
PEOPLE SEC 0220	ATTN PATRICIA CHONKO	850 MAIN STREET		BRIDGEPORT	CT	06604-0000	US
PERSHING LLC 0443	ATTN SILVY RODRIGUEZ OR REORG MGR	SECURITIES CORPORATION	1 PERSHING PLAZA 7TH FLOOR REORG	JERSEY CITY	NJ	07399-0000	US
PERSHING LLC 0443	ATTN AL HERNANDEZ OR REORG MGR	SECURITIES CORPORATION 1 PERSHING	PLAZA 7TH FL REORG	JERSEY CITY	NJ	07399-0000	US
PERSHING LLC 0443	ATTN JOSEPH LAVARA OR REORG MGR	1 PERSHING PLAZA		JERSEY CITY	NJ	07399-0000	US
PERSHING LLC SL INT'L 5196	ATTN CAMILLE REARDON OR REORG MGR	ONE PERSHING PLAZA 6TH FLOOR		JERSEY CITY	NJ	07399-0000	US
PHILLIP CAPITAL INC 8460	ATTN PROXY MGR	141 W Jackson Blvd Suite 1531A		CHICAGO	IL	60604	US
PI FINANCIAL CORP CDS 5075	ATTN ROB MCNEIL OR REORG MGR	666 BURRARD ST	SUITE 1900	VANCOUVER	BC	V6C 2G3	US
PIPER JAFFRAY CO 0311	ATTN ANNAMARIA HERNANDEZ	800 NICOLLET MALL	SUITE 800	MINNEAPOLIS	MN	55402 7020	US
PNC BANK NA 2616	ATTN JANET CLEARY OR REORG MGR	8800 TINICUM BLVD	MS F6 F266 02 2	PHILADELPHIA	PA	19153	US
PNC BANK NA ETF ACCOUNT 2448	ATTN JANET CLEARY OR REORG MGR	8800 TINICUM BLVD		PHILADELPHIA	PA	19153	US
PNC BANK NA PITTSBURGH 2834	ATTN BARBARA SKWARCHA OR REORG MGR	ONE PNC PLAZA 9TH FL 249 5TH AVENUE		PITTSBURGH	PA	15222 7707	US
PNC BANK NA SAFEKEEPING 2094	ATTN DAVE SANDERS OR REORG MGR	8800 TINICUM BOULEVARD		PHILADELPHIA	PA	19153	US
PNC BANK NA STAR 2937	ATTN DAVE SANDERS OR REORG MGR	8800 TINICUM BOULEVARD		PHILADELPHIA	PA	19153	US
PNC EQUITY SECURITIES CORP 2372	ATTN ANTHONY PICCIRILLI REORG MGR	FIFTH WOOD STREET		PITTSBURGH	PA	15219	US
PNC MAIN NATIONAL CITY 2316	ATTN DAVE SANDERS OR REORG MGR	8800 TINICUM BOULEVARD		PHILADELPHIA	PA	19153	US
PNC MARKET STREET FUNDING 2801	ATTN SANDY MUDD OR REORG MGR	249 FIFTH AVENUE P1 POPP 09 2		PITTSBURGH	PA	15222	US
PRIMEVEST FINANCIAL SERVICES 0701	ATTN MARK SCHOUVILLER OR REORG MGR	400 1ST STREET SOUTH		ST CLOUD	MN	56301	US
PWMCO LLC 0467	ATTN TED HANS OR REORG MGR	311 S WACKER DRIVE FLOOR 60		CHICAGO	IL	60606	US
QTRADE SECURITIES INC 5084	ATTN MARY KORAC OR PROXY MGR	505 BURRARD STREET SUITE 1920		VANCOUVER	BC	V7X 1M6	US
QTRADE SECURITIES INC CDS 5009	ATTN JOSEPH CHAU OR REORG MGR	ONE BENTALL CENTRE	505 BURRARD ST SUITE 1920	VANCOUVER	BC	V7X 1M6	US
QUESTRADE INC 5084	ATTN MAURIZIO ARANI OR PROXY MGR	5650 YONGE STREET SUITE 1700		TORONTO	ON	M2M 4G3	US
RAYMOND JAMES ASSOCIATES INC 0725	ATTN ROBERTA GREEN OR REORG MGR	880 CARILION PARKWAY TOWER 2 4TH FL		ST PETERSBURG	FL	33716	US
RAYMOND JAMES ASSOCIATES INC 5179	ATTN REORG MGR	880 Carillon Parkway		ST PETERSBURG	FL	33716	US
RAYMOND JAMES FI 0390	ATTN LINDA LACY OR REORG MGR	800 CARILLON PARKWAY		SAINT PETERSBURG	FL	33716	US
RAYMOND JAMES LTD CDS 5076	ATTN REORG MGR	2100 – 925 WEST	GEORGIA STREET	VANCOUVER	BC	V6C 3L2	US
RAYMOND JAMES RA 7568	ATTN FITCHEL DAWN OR REORG MGR	710 CARILLON PARKWAY		SAINT PETERSBURG	FL	33716	US
RBC CAP MARKETS RBCC 7408	ATTN STEVE SCHAFER OR REORG MGR	510 MARQUETTE AVE SOUTH		MINNEAPOLIS	MN	55402	US
RBC CAPITAL MARKETS 0235	ATTN STEVE SCHAFER OR REORG MGR	60 SOUTH 6TH STREET		MINNEAPOLIS	MN	55402	US
RBC DOMINION 4801	ATTN PETER DRUMM OR REORG MGR	200 BAY ST ROYAL BK PLAZA	NORTH TOWER 6TH FLOOR	TORONTO	ON	M5J 2W7	US
RBC DOMINION CDS 5002	ATTN KAREN OLIVERES OR REORG MGR	200 BAY STREET 6TH FLOOR	ROYAL BANK PLAZA NORTH TOWER	TORONTO	ON	M5J 2W7	US
RBC ROYAL TRUST 1 CDS 5044	ATTN ARLENE AGNEW OR REORG MGR	180 WELLINGTON STREET WEST		TORONTO	ON	M5J0C2	US
RBC ROYAL TRUST CDS 4707	ATTN ARLENE AGNEW OR REORG MGR	180 WELLINGTON STREET WEST		TORONTO	ON	M5J0C2	US
RBS EQUITY FINANCE 5263	ATTN JEFF BLACK OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
RBS FIXED INCOME 5231	ATTN JEFF BLACK OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
RBS SECURITIES INC 0248	ATTN JIM GLOVER OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
RBS SECURITIES INC EQUITIES 0425	ATTN JEFF BLACK OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
RBS SECURITIES INC GCFP 7564	ATTN JEFF BLACK OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
RBS SECURITIES INC OCC CUST 0261	ATTN BRYAN BURNS OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
RBS SECURITIES INC RBS PLC 7562	ATTN JEFF BLACK OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
RBS SUB ACCOUNT FOR 7563	ATTN JEFF BLACK OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US

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NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
REGIONS BANK 0971	ATTN PEYTON DILIBERTO	1901 6TH AVENUE N		BIRMINGHAM	AL	35203	US
REGIONS BANK CORPORATE TRUST 1505	ATTN MICHAEL CHANDLER OR REORG MGR	250 RIVERCHASE PARKWAY EAST 5TH FL		HOOVER	AL	35244	US
REGIONS BANK WEST VALLEY 2329	ATTN MANSELL GARRETT OR REORG MGR	250 RIVERCHASE PARKWAY		BIRMINGHAM	AL	35209	US
RHSECURLLC 6769	ATTN DAWN PAGLIARO	500 COLONIAL CENTER PKWY #100		LAKE MARY	FL	32746	US
RICHARDS MERRILL 8192	ATTN THOMAS MCDONALD OR REORG MGR	ONE SKYWALK US BK BLDG 422	WEST RIVERSIDE AVE	SPOKANE	WA	99201 0367	US
RIDGE CLEARINGS 0543	ATTN Yasmine Casseus	Broadridge Financial Sol Reorg Dept	2 Gateway Center 283 299 Market St	Newark	NJ	07102-0000	US
RJ DEALER STOCK LOAN 0594	ATTN DEE BYRD OR REORG MGR	880 CARILLON PARKWAY		ST PETERSBURG	FL	33716	US
ROBERT W BAIRD CO 0547	ATTN JAN SUDFELD OR REORG MGR	777 E WISCONSIN AVENUE	19TH FLOOR	MILWAUKEE	WI	53202	US
ROBERT W BAIRD CO 0547	ATTN TRACY TRENSCH OR REORG MGR	777 E WISCONSIN AVENUE		MILWAUKEE	WI	53202	US
ROOSEVELT CROSS INCORPORATED 6931	ATTN DENNIS STRIANO OR REORG MGR	ONE EXCHANGE PLAZA 55 BROADWAY	22ND FL	NEW YORK	NY	10006	US
SAFRA SECURITIES LLC 8457	ATTN REORG DEPARTMENT	3050 AVENTURA BLVD		AVENTURA	FL	33180	US
SCOTIA ASIA CDS 4813	ATTN HEATHER ALICE OR REORG MGR	44 KING STREET WEST		TORONTO	ON	M5H 1H1	US
SCOTIA BNS LDN CDS 4703	ATTN PAT TOILLON OR REORG MGR	40 KING STREET WEST LOWER CONCOURSE		TORONTO	ON	M5H 1H1	US
SCOTIA CAPITAL INC 0096	ATTN JOE LAPORTA OR REORG MGR	250 ZESEY STREET		NEW YORK	NY	10281	US
SCOTIA CAPITAL INC CDS 5011	ATTN NORMITA RAMIREZ CORP ACT DEPT	40 KING STREET WEST		TORONTO	ON	M5H 1H1	US
SCOTIA SCE LTD CD 4814	ATTN JOSEPH CHAU OR REORG MGR	40 KING STREET WEST SCOTIA PLAZA		TORONTO	ON	M5H 1H1	US
SCOTIA TAXABLE ACCO 4816	ATTN LETTY ECHEVARRIA OR REORG MGR	23RD FL 40 KING ST W SCOTIA PLAZA		TORONTO	ON	M5H 1H1	US
SCOTTRADE INC 0705	ATTN REORGANIZATION DEPARTMENT	500 MARYVILLE UNIVERSITY DR		ST LOUIS	MO	63141	US
SEI PRIVATE 2039	ATTN SHAWN MACCOY OR REORG MGR	ONE FREEDOM VALLEY DRIVE		OAKS	PA	19456	US
SEI PRIVATE 2039	ATTN ERIC GREENE OR REORG MGR	ONE FREEDOM VALLEY DRIVE		OAKS	PA	19456	US
SEI PRIVATE TRUST COMPANY 2663	ATTN MELVIN ALLISON OR REORG MGR	ONE FREEDOM VALLEY DR		OAKS	PA	19456	US
SG AMERICAS FOREIGN LOAN 5241	ATTN CHARLES HUGHES OR REORG MGR	245 PARK AVE		NEW YORK	NY	10167	US
SG AMERICAS SECURITIES LLC 0286	ATTN CHARLES HUGHES OR REORG MGR	245 PARK AVE		NEW YORK	NY	10167	US
SOCIETE GENERALE NY BRANCH 1546	ATTN REORG Dept	245 Park Ave		New York	NY	10167	US
SOCIETE GENERALE PARIS 2680	ATTN JOHN RYAN OR REORG MGR	480 WASHINGTON BLVD		JERSEY CITY	NJ	07310-0000	US
SOUTHERN COMPANY DRS 7821	ATTN ERIC CRISP OR REORG MGR	30 IVAN ALLEN JR BOULEVARD	NW 11TH FL BIN SC1100	ATLANTA	GA	30308	US
SOUTHWEST SECURITIES INC 0279	ATTN DORIS KRAJC OR REORG MGR	1201 ELM STREET	SUITE 3700	DALLAS	TX	75270	US
SOUTHWEST SECURITIES INC 0279	ATTN CHRISTINA FINZEN OR RORG MGR	1201 ELM STREET	SUITE 3700	DALLAS	TX	75270	US
SOUTHWEST SECURITIES INC 0279	ATTN RHONDA JACKSON OR REORG MGR	1201 ELM STREET	SUITE 3500	DALLAS	TX	75270	US
SSB BANK PORTFOLIO 2436	ATTN JOE CALLAHAN OR REORG MGR	1776 HERITAGE DR GLOBAL	CORP ACTION UNIT JAB5NW NO	QUINCY	MA	02171-0000	US
SSB BLACKROCK TRUST 2767	ATTN TRINA ESTREMERER OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	02171-0000	US
SSB CAPITAL MARKETS 2556	ATTN JOE CALLAHAN OR REORG MGR	1776 HERITAGE DR GLOBAL CORP	ACTION UNIT JAB 5NW NO	QUINCY	MA	02171-0000	US
SSB FRANK 2399	ATTN DUSTIN TOPJIAN OR REORG MGR	CORPORATE ACTIONS	1776 HERITAGE DRIVE	NORTH QUINCY	MA	02171-0000	US
SSB IBT BGI 2767	ATTN TOM BRODERICK OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	02171-0000	US
SSB T SEC FIN AS PRINCIPAL 2625	ATTN MYRIAM PIERVIL OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	02171-0000	US
SSBT CO CLIENT CUSTODY SERVICE 2678	ATTN MYRIAM PIERVIL OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	02171-0000	US
STANDARD REGISTRAR XFER CO 7858	ATTN RONALD HARRINGTON OR REORG MGR	12528 SOUTH 1840 EAST		DRAPER	UT	84020	US
STATE ST BNK TRST STATE ST TOT 2950	ATTN MIKE FEELEY ROB RAY PROXY MGR	CORP ACTIONS JAB5E 1776	HERITAGE DRIVE NORTH	QUINCY	MA	02171-0000	US
STATE STREET 0997	ATTN MIKE FEELEY ROB RAY REORG MGR	CORP ACTIONS JAB5E	1776 HERITAGE DRIVE	NORTH QUINCY	MA	02171-0000	US
STATE STREET 2375	ATTN MYRIAM PIERVIL OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	02171-0000	US
STATE STREET 2386	ATTN CHRISTINE SULLIVAN	1776 HERITAGE DR		NORTH QUINCY	MA	02171-0000	US
STATE STREET BANK TRUST 0997 2319	ATTN CHRISTINE SULLIVAN REORG MGR	CORP ACTIONS JAB5E	1776 HERITAGE DRIVE	NORTH QUINCY	MA	02171-0000	US
STATE STREET BANK TRUST 0997 2319	ATTN MIKE FEELEY ROB RAY REORG MGR	CORP ACTIONS JAB5E	1776 HERITAGE DRIVE	NORTH QUINCY	MA	02171-0000	US

Exhibit A

Nominee Service List

Served via Overnight Mail or Next Day Business Service

NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
STATE STREET DB 2546	ATTN THOMAS LANGELIER OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	02171-0000	US
STATE STREET GLOBAL MARKET LLC 0189	ATTN SN TAPPARO OR REORG MGR	STATE STREET FINANCIAL CENTER	ONE LINCOLN ST SFC5	BOSTON	MA	02111 2900	US
STEPHENS INC 0419	ATTN LINDA THOMPSON OR REORG MGR	111 CENTER STREET	4TH FLOOR	LITTLE ROCK	AR	72201	US
STIFEL NICOLAUS CO 0793	ATTN CHRIS WIEGAND OR REORG MGR	501 N BROADWAY	7TH FLOOR STOCK RECORD DEPT	ST LOUIS	MO	63102	US
STIFEL NICOLAUS CO 0793	ATTN CRAIG MCINTOSH OR REORG MGR	501 N BROADWAY	REORG DEPT	ST LOUIS	MO	63102	US
STOCKCROSS FINANCIAL 0445	ATTN ELEANOR PIMENTEL OR REORG MGR	77 SUMMER STREET 2ND FLOOR		BOSTON	MA	02210-0000	US
STOEVER GLASS CO INC 6759	ATTN EVA HRASTNIG MIERAS REORG MGR	30 WALL STREET		NEW YORK	NY	10005	US
StoneX Financial Inc 750	Attn Re Org Dept	2 Perimeter Park S Suite 100W		BIRMINGHAM	AL	35242	US
SUNTRUST BANK 2971	ATTN RICK TROWBRIDGE OR REORG MGR	BANKRUPTCY CLASS ACT 303 PEACHTREE	ST SUIT 1400 MAIL CODE GA ATL 3141	ATLANTA	GA	30308	US
SUNTRUST BANK DEALER BANK 2262	ATTN VERONICA JOHNSON OR REORG MGR	303 PEACHTREE STREET 25TH FLOOR		ATLANTA	GA	30308	US
SUNTRUST BANK SILC 2289	ATTN KAIN DONNA OR REORG MGR	303 PEACHTREE ST NE 25TH FL	MAIL CODE GA ATL 3907	ATLANTA	GA	30308	US
SUNTRUST ROBINSON HUMPHREY INC 2095	ATTN MARIA SASINOSKI OR REORG MGR	525 WILLIAM PENN PLACE ROOM 0300		PITTSBURGH	PA	15259	US
SW SECURITIES STOCK LOAN 5128	ATTN CHRISTINA FINZEN OR REORG MGR	1201 ELM STREET SUITE 3700		DALLAS	TX	75270	US
TD AMERITRADE CLEARING INC 0188	ATTN MANDI FOSTER OR REORG MGR	1005 NORTH AMERITRADE PLACE		BELLEVUE	NE	68005	US
TD AMERITRADE CLEARING INC 0188	ATTN GARY SWAIN OR REORG MGR	1005 NORTH AMERITRADE PLACE		BELLEVUE	NE	68005	US
TD AMERITRADE SECURITIES 5298	ATTN KEVIN STRINE OR REORG MGR	4211 S 102ND STREET		OMAHA	NE	68127	US
TD WATERHOUSE CDS 5036	ATTN REORG MANAGER	77 BLOOR STREET WEST 3RD FLOOR		TORONTO	ON	M5S 1M2	US
TEXAS TREASURY SAFEKEEPING 2622	ATTN JANIE DOMINGUEZ OR REORG MGR	208 E 10TH ST	ROOM 410	AUSTIN	TX	78701	US
THE BANK NOVA SCOTIA WMF CDS 4838	ATTN ARELENE AGNEW OR REORG MGR	44 KING STREET WEST SCOTIA PLAZA		TORONTO	ON	M5H 1H1	US
THE BANK NY MELLON 0901 2510 2209	ATTN EVENT CREATION DEPARTMENT	500 GRANT STREET ROOM 151 2700		PITTSBURGH	PA	15258	US
THE BANK OF NEW YORK MELLON 0901	ATTN JENNIFER MAY OR REORG MGR	525 WILLIAM PENN PLACE	SUITE 153 0400	PITTSBURGH	PA	15259	US
THE BANK OF NOVA SCOTIA CDS 4812	ATTN NORMITA RAMIREZ OR REORG MGR	40 KING ST W 23RD FL SCOTIA PLAZA		TORONTO	ON	M5H 1H1	US
THE CENTRAL DEPOSITORY 5700	ATTN WALTER SPRINGER OR REORG MGR	55 WATER STREET 26TH FLOOR		NEW YORK	NY	10041	US
THE FIRST NA DRS 7891	ATTN STACY BRANN OR REORG MGR	7 BRISTOL ROAD		DAMARISCOTTA	ME	04543-0000	US
THE FROST NATIONAL BANK 2053	ATTN JULIA WARD OR REORG MGR	100 WEST HOUSTON		SAN ANTONIO	TX	78205	US
THE ROYAL BANK OF SCOTLAND 2288	ATTN JEFF BLACK OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
THE ROYAL BANK OF SCOTLAND 5251	ATTN JEFF BLACK OR REORG MGR	600 WASHINGTON BOULEVARD		STAMFORD	CT	06901-0000	US
THE TEL AVIV STOCK EXCHANGE 2015	ATTN NIRA MEIR OR REORG MGR	54 AHAD HA'AM ST		TEL AVIV		61290 165202	US
TORONTO DOMINION BANK THE 4805	ATTN JASON PEEL OR REORG MGR	77 KING STREET WEST 16TH FLOOR		TORONTO	ON	M5K 1A2	US
TOTALS TRADEBOT SYSTEMS INC 0083	ATTN KIRK VIETTI OR REORG MGR	1251 NW BRIARCLIFF PRKWAY SUITE 700		KANSAS CITY	MO	64116	US
TRADESTATION 0271	ATTN RICK GORDON OR REORG MGR	CORPORATE ACTIONS DEPARTMENT	8050 SW 10TH ST SUITE 2000	PLANTATION	FL	33324	US
TRADITION ASIEL SECURITIES 370	ATTN EMIL SOLDATI OR REORG MGR	75 PARK PLACE	4TH FLOOR	NEW YORK	NY	10007	US
TRUSTMARK NATIONAL BANK 2852	ATTN JACK BALL OR REORG MGR	248 E CAPITOL ST	ROOM 580	JACKSON	MS	39201	US
UBS AG 0979	ATTN CARLOS LEDE OR REORG MGR	677 WASHINGTON BLVD		STAMFORD	CT	06901-0000	US
UBS AG IPA ACCOUNT 1540	ATTN ANTHONY CONTE OR REORG MGR	677 WASHINGTON BLVD		STAMFORD	CT	06901-0000	US
UBS AG STAMFORD UBS AG LONDON 2507	ATTN JOSEPH POZOLANTE OR REORG MGR	315 DEADERICK STREET		NASHVILLE	TN	37238	US
UBS FINANCIAL GOVT SECURITIES 5170	ATTN JONATHAN BANKS OR REORG MGR	1200 HARBOR BLVD		WEEHAWKEN	NJ	07086-0000	US
UBS FINANCIAL SERVICES LLC 0221	ATTN NEHA SOOD OR REORG MGR	315 DEADERICK STREET	5TH FLOOR	NASHVILLE	TN	37238	US
UBS SECURITIES CANADA INC 5017	ATTN JILL MILLS OR REORG MGR	161 BAY ST SUITE 4100		TORONTO	ON	M5J 2S1	US
UBS SECURITIES LLC 0642	ATTN GREGORY CONTALDI OR REORG MGR	315 DEADERICK STREET		NASHVILLE	TN	37238	US
UBS SECURITIES SEC LENDING 5284	ATTN JOSEPH SOMMA OR REORG MGR	677 WASHINGTON BLVD		STAMFORD	CT	06902 0000	US

Exhibit A
Nominee Service List
Served via Overnight Mail or Next Day Business Service

NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
UMB BANK NATIONAL ASSOCIATION 2450	ATTN KAREN BOUCHARD OR REORG MGR	928 GRAND BLVD MAILSTOP 1010404		KANSAS CITY	MO	64106	US
UNION BANK CORP TRUST IPA 1500	ATTN REORG Dept	6801 South 27th Street	3rd Floor	Lincoln	NE	68512	US
UNION BANK GLOBAL CUSTODY 2076	ATTN HENRY HU OR REORG MGR	350 CALIFORNIA ST 8TH FLOOR		SAN FRANCISCO	CA	94104	US
UNION BANK OF CALIFORNIA NA 2145	ATTN MARIA BRAGGE OR REORG MGR	350 CALIFORNIA STREET	8TH FLOOR	SAN FRANCISCO	CA	94104	US
UNION BANK TRUST COMPANY 2067	ATTN TRUST OPS SHERI ZIMMERMAN	6811 S 27TH STREET		LINCOLN	NE	68512	US
UNION BANK TRUST COMPANY 2067	ATTN REORG MGR	6811 S 27TH STREET		LINCOLN	NE	68512	US
UNION BANK UNIONBANC 2632	ATTN IRENE BRIONES OR REORG MGR	445 SOUTH FIGUEROA ST		LOS ANGELES	CA	90071	US
US BANCORP INVESTMENTS INC 0280	ATTN KEVIN BROWN OR REORG MGR	60 LIVINGSTON AVENUE		ST PAUL	MN	55107	US
US BANK 2803	ATTN PAUL KUXHAUS REORG MGR	1555 N RIVERCENTER DR	STE 302	MILWAUKEE	WI	53212	US
US BANK NA 2803	ATTN PAUL KUXHAUS OR REORG MGR	1555 N RIVER CENTER DRIVE SUITE 302		MILWAUKEE	WI	53212	US
US BANK THIRD PARTY LENDING 2837	ATTN SCOTT OLSON OR REORG MGR	1555 N RIVERCENTER DRIVE SUITE 302		MILWAUKEE	WI	53212	US
USAA INVESTMENT MGMT 0367	ATTN JOYCE WILSON OR REORG MGR	9800 FREDRICKBURG ROAD		SAN ANTONIO	TX	78211	US
USB ETF 2580	ATTN STEPHANIE KAPTA	1555 N RIVER CENTER DR	SUITE 302	MILWAUKEE	WI	53226	US
VANGUARD 0062	ATTN CORPORATE ACTION	100 VANGUARD BLVD		MALVERN	PA	19355	US
VANGUARD 0062	ATTN BRANDON R MITCHAM	OR CORPORATE ACTION	14321 N NORTHSIGHT BLVD	SCOTTSDALE	AZ	85260	US
VISION FINANCIAL MARKETS LLC 0595	ATTN ANA MARTINEZ MARVIN MONZON	120 LONG RIDGE ROAD	3 NORTH	STAMFORD	CT	06902-0000	US
WACHTEL CO INC 0709	ATTN CHARLES ZIER OR REORG MGR	1701 K Street NW Suite 615		WASHINGTON	DC	20006	US
WD LATIMER CO LTD CDS 5078	ATTN MIKE ADAMS OR REORG MGR	100 WELLINGTON ST WEST SUITE 600		TORONTO	ON	M5K 1G8	US
WEDBUSH MORGAN SECURITIES 0103	ATTN ALAN P FERREIRA OR REORG MGR	1000 WILSHIRE BLVD		LOS ANGELES	CA	90017	US
WEDBUSH STOCK LOAN 5166 8199	ATTN ALAN FERREIRA OR REORG MGR	1000 WILSHIRE BLVD	SUITE 850	LOS ANGELES	CA	90017	US
WELLS BANK 2027	ATTN LORA DAHLE OR REORG MGR	733 MARQUETTE AVE	MAC N9306 057 5TH FLOOR	MINNEAPOLIS	MN	55479	US
WELLS FAGO SAFEKEEPING SERVICE 2112	ATTN KENT AMSBAUGH OR REORG MGR	733 MARQUETTE AVENUE SOUTH		MINNEAPOLIS	MN	55479	US
WELLS FARGO ADVISORS LLC 7360	ATTN STEVE TURNER OR REORG MGR	CORP ACTIONS NC0675 1525	WEST WT HARRIS BLVD 1B1	CHARLOTTE	NC	28262	US
WELLS FARGO BANK NA SIG 2072	ATTN SCOTT NELLIS OR REORG MGR	1525 W T HARRIS BLVD	1ST FLOOR	CHARLOTTE	NC	28262 8522	US
WELLS FARGO BANK NA WACHOVIA 0929	ATTN VICTORIA STEWART OR REORG MGR	1525 W WT HARRIS BLVD		CHARLOTTE	NC	28262 8522	US
WELLS FARGO INVESTMENT LLC 0733	MARGARET KLASEN OR REORG DEPT	625 MARQUETTE AVENUE	13TH FLOOR	MINNEAPOLIS	MN	55402 2308	US
WELLS FARGO ISSUING PAY AGT 1538	ATTN JOHN KEMPER OR REORG MGR	733 MARQUETTE AVENUE SOUTH TRUST	OPERATIONS CENTER	MINNEAPOLIS	MN	55479	US
WELLS FARGO SECURITIES 2480	ATTN STEVE TURNER OR REORG MGR	CORP ACTIONS NC0675 1525	WEST WT HARRIS BLVD 1B1	CHARLOTTE	NC	28262	US
WELLS FARGO SECURITIES LLC 0250	ATTN SCOTT NELLIS OR REORG MGR	CORP ACTIONS MAC D109 010 1525	WEST WT HARRIS BLVD 1B1	CHARLOTTE	NC	28262	US
WELLS FARGO SECURITIES LLC 0250	ATTN STEVE TURNER OR REORG MGR	CORP ACTIONS NC0675 1525	WEST WT HARRIS BLVD 1B1	CHARLOTTE	NC	28262	US
WELLS FARGO SECURITIES LLC 0250	ATTN ROBERT MATERA OR REORG MGR	CORP ACTIONS NC0675 1525	WEST WT HARRIS BLVD 1B1	CHARLOTTE	NC	28262	US
WELLS FARGO STOCK LOAN 7286	ATTN CASSANDRA INGRAM OR REORG MGR	625 MARQUETTE AVE SOUTH MAC9311 12B		MINNEAPOLIS	MN	55402	US
WELLS FARGO WELLS FA 5199	ATTN STEVE TURNER OR REORG MGR	CORP ACTIONS NC0675 1525	WEST WT HARRIS BLVD 1B1	CHARLOTTE	NC	28262	US
WESBANCO BANK INC 2271	ATTN SUSAN KOVAL	ONE BANK PLAZQ		WHEELING	WV	26003	US
WILLIAM BLAIR COMPANY 0771	ATTN SARAH AHRENS OR REORG MGR	222 WEST ADAMS STREET		CHICAGO	IL	60606	US
WILMINGTON TRUST COMPANY 2215	ATTN BRIAN BARONE OR REORG MGR	1100 NORTH MARKET STREET	RODNEY SQUARE NORTH	WILMINGTON	DE	19890 2210	US
WILMINGTON TRUST COMPANY IPA 1507	ATTN BARBARA CAHOONE OR REORG MGR	RODNEY SQUARE N 1100 N MARKET ST		WILMINGTON	DE	19890 0001	US
WILSON DAVIS CO INC 0283	ATTN BILL WALKER OR REORG MGR	236 S MAIN ST		SALT LAKE CITY	UT	84101	US
WILSON DAVIS CO INC 0283	ATTN JANET TAYLOR OR REORG MGR	236 S MAIN ST		SALT LAKE CITY	UT	84101	US
WULFF HANSEN CO 5226	ATTN FRANK VILLEGAS OR REORG MGR	201 SANSOME STREET		SAN FRANCISCO	CA	94014	US
ZIONS CT ISS PAY A C 1586	ATTN ANITTA SIMPSON OR REORG MGR	10 EAST SOUTH TEMPLE 3RD FLOOR		SALT LAKE CITY	UT	84111	US
ZIONS DIRECT INC 0065	ATTN AARON LINDHARDT OR REORG MGR	1 SOUTH MAIN STREET SUITE 1340		SALT LAKE CITY	UT	84111	US

Exhibit A
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NAME		ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	Country
ZIONS FIRST NATIONAL BANK 2104		ATTN JOHN RIZZO OR REORG MGR	ONE SOUTH MAIN STREET SUITE 1340		SALT LAKE CITY	UT	84111	US
ZIV INVESTMENT CO 8082		ATTN JAMES GRIEGEL OR REORG MGR	141 W JACKSON BLVD		CHICAGO	IL	60604	US

Exhibit B

MEMORANDUM

TO: All Beneficial Owners

DATE: 1/20/2022

RE: **The Commonwealth of Puerto Rico, et al.**
Case No. 17-BK 3283 (LTS)

Record Date: 10/18/2021

CUSIP	Job Number
745145	E28172
74514L	E28174
745235	E28175

Documents:

- 59273-01 - Puerto Rico - Notice of Retail Investor Certification (Vintage PBA Bond Claims)
- 59273-02 - Puerto Rico - Notice of Retail Investor Certification (2011 PBA Bond Claims)
- 59273-03 - Puerto Rico - Notice of Retail Investor Certification (2012 PBA Bond Claims)
- 59273-04 - Puerto Rico - Notice of Retail Investor Certification (Vintage CW Bond Claims)
- 59273-05 - Puerto Rico - Notice of Retail Investor Certification (2011 CW Bond Claims)
- 59273-06 - Puerto Rico - Notice of Retail Investor Certification (2011 CW Series D/E/PIB Bond Claims)
- 59273-07 - Puerto Rico - Notice of Retail Investor Certification (2012 CW Bond Claims)
- 59273-08 - Puerto Rico - Notice of Retail Investor Certification (2014 CW Bond Claims)

If you would like additional copies of the enclosed materials or have any other questions, please contact the Prime Clerk LLC Solicitation Team at puertoricoballots@PrimeClerk.com.

Please review Exhibit A for a breakdown of each root CUSIP.

Exhibit A

CUSIPS								
7451455A6	74514LB71	74514LHL4	74514LMN4	74514LVJ3	74514LZL4	745235H46	745235P54	745235ZP9
7451455B4	74514LB89	74514LHM2	74514LMZ7	74514LVK0	74514LZM2	745235K75	745235P62	745235ZQ7
745145AU6	74514LB97	74514LHN0	74514LNA1	74514LVL8	74514LZN0	745235K83	745235P70	745235ZR5
745145AV4	74514LBM8	74514LHP5	74514LNC7	74514LVM6	74514LZP5	745235K91	745235Q20	745235ZS3
745145AW2	74514LC21	74514LJQ1	74514LPT8	74514LVN4	74514LZQ3	745235L25	745235R37	745235ZT1
745145AX0	74514LC39	74514LJV0	74514LPX9	74514LVV6	74514LZV2	745235L33	745235R52	745235ZX2
745145HN5	74514LC47	74514LJW8	74514LQA8	74514LVW4	74514LZW0	745235L41	745235R60	745235ZY0
745145HP0	74514LC54	74514LJX6	74514LQB6	74514LVX2	74514LZX8	745235L58	745235R78	745235ZZ7
745145HQ8	74514LC62	74514LJY4	74514LQE0	74514LVY0	74514LZY6	745235L66	745235R86	
745145XZ0	74514LC70	74514LJZ1	74514LQF7	74514LVZ7	74514LZZ3	745235L74	745235R94	
745145YN6	74514LC88	74514LKA4	74514LQK6	74514LWA1	745235A27	745235L90	745235RW3	
745145YP1	74514LC96	74514LKH9	74514LSQ1	74514LWK9	745235A35	745235M24	745235RX1	
745145YS5	74514LCX3	74514LKJ5	74514LSR9	74514LWM5	745235A43	745235M32	745235RY9	
745145YV8	74514LCY1	74514LLV7	74514LSS7	74514LWQ6	745235A50	745235M40	745235S28	
745145YW6	74514LCZ8	74514LLW5	74514LST5	74514LWR4	745235A68	745235M57	745235S36	
745145YX4	74514LD38	74514LLX3	74514LSU2	74514LWS2	745235A76	745235M65	745235S44	
745145YZ9	74514LDA2	74514LLY1	74514LSV0	74514LWX1	745235A84	745235M73	745235S69	
74514LA23	74514LDB0	74514LLZ8	74514LTK3	74514LWZ6	745235B26	745235M81	745235S93	
74514LA31	74514LDC8	74514LMA2	74514LTM9	74514LXA0	745235B34	745235M99	745235SB8	
74514LA49	74514LDD6	74514LMB0	74514LTN7	74514LXB8	745235B42	745235N23	745235SC6	
74514LA56	74514LDE4	74514LMC8	74514LTP2	74514LXH5	745235D24	745235N31	745235SW2	
74514LA64	74514LE86	74514LMD6	74514LTQ0	74514LYW1	745235D57	745235N49	745235SX0	
74514LA72	74514LHB6	74514LME4	74514LTR8	74514LZA8	745235D65	745235N56	745235VR9	
74514LA80	74514LHC4	74514LMF1	74514LTS6	74514LZB6	745235G62	745235N64	745235VX6	
74514LA98	74514LHD2	74514LMG9	74514LTT4	74514LZC4	745235G70	745235N72	745235VY4	
74514LB22	74514LHE0	74514LMH7	74514LTU1	74514LZF7	745235G88	745235N80	745235VZ1	
74514LB30	74514LHF7	74514LMJ3	74514LTV9	74514LZG5	745235G96	745235N98	745235ZK0	
74514LB48	74514LHG5	74514LMK0	74514LTW7	74514LZH3	745235GJ4	745235P21	745235ZL8	
74514LB55	74514LHH3	74514LML8	74514LVG9	74514LZJ9	745235H20	745235P39	745235ZM6	
74514LB63	74514LHK6	74514LMM6	74514LVH7	74514LZK6	745235H38	745235P47	745235ZN4	

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO, THE
EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO, AND THE PUERTO RICO PUBLIC
BUILDINGS AUTHORITY,

Debtors.¹

PROMESA
Title III

No. 17 BK 3283-LTS

(Jointly Administered)

NOTICE OF RETAIL INVESTOR CERTIFICATION

On January 18, 2022, the United States District Court for the District of Puerto Rico (the “Court”) entered an order confirming the *Modified Eight Amended Title III Plan of Adjustment of the Commonwealth of Puerto Rico, et al.*, dated January 14, 2022 (as amended, supplemented, or modified, the “Plan”).² The Plan provides for certain distributions to be made to “Retail Investors.”

A “Retail Investor” is an individual who holds **Vintage PBA Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s).

If you are a “Retail Investor” and did not submit a vote to accept or reject the Plan on or before 5:00 p.m. (Atlantic Standard Time) on October 18, 2021 (or submitted such vote, which you timely revoked), you must identify yourself to be eligible to receive the appropriate distributions as a “Retail Investor” pursuant to the Plan. Instructions to identify yourself and

¹ The Debtors in these Title III Cases, along with each Debtor’s respective Title III case number and the last four (4) digits of each Debtor’s federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17-BK-3283-LTS) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation (“COFINA”) (Bankruptcy Case No. 17-BK-3284-LTS) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority (“HTA”) (Bankruptcy Case No. 17-BK-3567-LTS) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico (“ERS”) (Bankruptcy Case No. 17-BK-3566-LTS) (Last Four Digits of Federal Tax ID: 9686); (v) Puerto Rico Electric Power Authority (“PREPA”) (Bankruptcy Case No. 17-BK-4780-LTS) (Last Four Digits of Federal Tax ID: 3747); and (vi) Puerto Rico Public Buildings Authority (“PBA”) (Bankruptcy Case No. 19-BK-5523-LTS) (Last Four Digits of Federal Tax ID: 3801) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

² Unless otherwise defined in this Notice, capitalized terms used herein shall have the meanings given to them in the Plan.

certify (the “Certification”) that you meet the requirements to be a “Retail Investor” are provided below.

For the avoidance of doubt, if you submitted a valid vote into ATOP on or before October 18, 2021, and your bonds were subsequently released from ATOP after October 18, 2021 in accordance with the Disclosure Statement Order, you are not eligible to submit a Certification.

The deadline for your broker or nominee to submit your certification as a Retail Investor is **February 17, 2022 at 6:00 p.m. (Atlantic Standard Time)** (the “Certification Deadline”).³ **Note that your broker or nominee may set an earlier deadline to provide it sufficient time to submit your certification before the Certification Deadline.**

The record date to determine holders of **Vintage PBA Bond Claims** eligible to receive this Certification Notice and make the Certification is 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, which coincides with the conclusion of the original voting and distribution election events for the Plan.

For the avoidance of doubt, if you traded the bonds associated with the **Vintage PBA Bond Claims** after the Certification Record Date, but submit a valid Certification into ATOP pursuant to these instructions, only you will receive the Retail Support Fee based on the Certification. Any other entitlement or distribution pursuant to the terms of the Plan will be made to the holder of such bonds at the time of distribution.

How to Submit a Valid Certification

If you wish to certify that, as of 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, you were:

an individual who holds **Vintage PBA Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s)

you must instruct your broker or nominee (each, a “**Nominee**”) to electronically deliver all of your aforementioned bonds via the Automated Tender Offer Program (“**ATOP**”) at The Depository Trust Company (“**DTC**”) in accordance with your desire to instruct on the above certification.

If you and/or your Nominee do not electronically deliver your bonds via ATOP (i.e., take no action), you will be deemed not to be a Retail Investor.

* * * * *

³ 6:00 PM (Atlantic Standard Time) is equivalent to 5:00 PM (Eastern Standard Time).

In addition, by delivering your bonds via ATOP, you are certifying that:

1. either (a) your certification is the only certification by you on account of a **Vintage PBA Bond Claims**, or (b) in addition to the certification, one or more additional certifications (“**Additional Certifications**”) on account of other bonds have been submitted by one or more Nominees, and you have provided (or coordinated with your Nominee to provide) the Numerosity Spreadsheet (as defined below) to the Balloting Agent by the Certification Deadline;
2. you have submitted a Certification for all of your Claims on account of the bonds to which this Notice pertains and acknowledge that no split Certifications will be permitted;
3. you are the holder of the Claims on account of bonds to which this Notice pertains or is an authorized signatory of such holder, and has full power and authority to make the Certification; and
4. your Certification is subject to all the terms and conditions set forth in the Plan, Disclosure Statement, and Disclosure Statement Order.

No paperwork is required to be delivered to Prime Clerk LLC to effectuate the Certification (except in the limited circumstance noted below in the section titled “*Numerosity Information Request – Applicable Only for Beneficial Holders Submitting More Than One Instruction Through ATOP*”). The sole means of effectuating this Certification is to (i) validly tender your bonds into the proper ATOP envelope at DTC, and (ii) make the required certification set forth above, each as described on DTC’s ATOP system.

**THE CERTIFICATION DEADLINE IS
6:00 P.M. (ATLANTIC STANDARD TIME) ON FEBRUARY 17, 2022.⁴**

This date and time is referred to as the “**Certification Deadline**.”

PLEASE TAKE NOTICE THAT IF YOU TENDER YOUR BONDS THROUGH ATOP, YOU WILL BE RESTRICTED FROM TRANSFERRING YOUR BONDS THROUGH THE EFFECTIVE DATE.

YOU MAY REVOKE YOUR CERTIFICATION AND WITHDRAW ANY TENDERED BONDS AT ANY TIME BEFORE THE CERTIFICATION DEADLINE.

* * * * *

How to Revoke a Valid Certification

You may revoke your Certification and withdraw your bonds tendered through DTC’s ATOP at any time on or before the Certification Deadline.

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If you wish to revoke your Certification, you must instruct your Nominee to revoke your Certification and withdraw your bonds via ATOP at DTC (which withdrawal will be confirmed by Prime Clerk LLC once notified by DTC of the withdrawal request). No paperwork is required to be delivered to Prime Clerk LLC to effectuate the revocation.

If you revoke your Certification any time before the Certification Deadline, you may make a Certification again at any time before the Certification Deadline, in accordance with the instructions to submit a valid Certification above.

* * * * *

Numerosity Information Submission
(Applicable Only for Beneficial Holders Submitting More than One Certification Through ATOP)

Any beneficial holder of **Vintage PBA Bond Claims** that holds multiple CUSIPs of **Vintage PBA Bond Claims** and submits more than one certification through one or more Nominees, **MUST** submit (or coordinate with your Nominee(s) to submit) a list of all such ATOP instruction confirmation numbers (also referred to as ATOP voluntary offer instructions or “**VOIs**”). The Balloting Agent has made available a template electronic spreadsheet (the “**Numerosity Spreadsheet**”) on its website at: <https://cases.primeclerk.com/puertorico> (click on the link titled “Numerosity Spreadsheet”).

Please return (or coordinate with your Nominee to return) the Numerosity Spreadsheet to the Balloting Agent in excel format via email to puertoricoinfo@primeclerk.com. If you anticipate any difficulty in submitting your Numerosity Spreadsheet in excel format, please contact Prime Clerk at (844) 822-9231 (toll free for U.S. and Puerto Rico) or (646) 486-7944 (for international callers) or by e-mail at puertoricoballots@primeclerk.com.

* * * * *

If you have any questions about your holdings, please contact your Nominee. Additionally, you must contact your Nominee to take any action described above.

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, PLEASE CONTACT THE BALLOTING AGENT, PRIME CLERK, LLC, BY TELEPHONE AT (844) 822-9231 (TOLL FREE FOR U.S. AND PUERTO RICO) OR (646) 486-7944 (FOR INTERNATIONAL CALLERS), AVAILABLE 10:00 A.M. TO 7:00 P.M. (ATLANTIC STANDARD TIME) (SPANISH AVAILABLE), OR BY EMAIL AT PUERTORICOINFO@PRIMECLERK.COM AND REFERENCE “RETAIL INVESTOR SOLICITATION” IN THE SUBJECT LINE. PLEASE NOTE THAT PRIME CLERK LLC IS NOT AUTHORIZED TO PROVIDE, AND WILL NOT PROVIDE, LEGAL ADVICE.

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO, THE
EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO, AND THE PUERTO RICO PUBLIC
BUILDINGS AUTHORITY,

Debtors.¹

PROMESA
Title III

No. 17 BK 3283-LTS

(Jointly Administered)

NOTICE OF RETAIL INVESTOR CERTIFICATION

On January 18, 2022, the United States District Court for the District of Puerto Rico (the “Court”) entered an order confirming the *Modified Eight Amended Title III Plan of Adjustment of the Commonwealth of Puerto Rico, et al.*, dated January 14, 2022 (as amended, supplemented, or modified, the “Plan”).² The Plan provides for certain distributions to be made to “Retail Investors.”

A “Retail Investor” is an individual who holds **2011 PBA Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s).

If you are a “Retail Investor” and did not submit a vote to accept or reject the Plan on or before 5:00 p.m. (Atlantic Standard Time) on October 18, 2021 (or submitted such vote, which you timely revoked), you must identify yourself to be eligible to receive the appropriate distributions as a “Retail Investor” pursuant to the Plan. Instructions to identify yourself and

¹ The Debtors in these Title III Cases, along with each Debtor’s respective Title III case number and the last four (4) digits of each Debtor’s federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17-BK-3283-LTS) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation (“COFINA”) (Bankruptcy Case No. 17-BK-3284-LTS) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority (“HTA”) (Bankruptcy Case No. 17-BK-3567-LTS) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico (“ERS”) (Bankruptcy Case No. 17-BK-3566-LTS) (Last Four Digits of Federal Tax ID: 9686); (v) Puerto Rico Electric Power Authority (“PREPA”) (Bankruptcy Case No. 17-BK-4780-LTS) (Last Four Digits of Federal Tax ID: 3747); and (vi) Puerto Rico Public Buildings Authority (“PBA”) (Bankruptcy Case No. 19-BK-5523-LTS) (Last Four Digits of Federal Tax ID: 3801) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

² Unless otherwise defined in this Notice, capitalized terms used herein shall have the meanings given to them in the Plan.

certify (the “Certification”) that you meet the requirements to be a “Retail Investor” are provided below.

For the avoidance of doubt, if you submitted a valid vote into ATOP on or before October 18, 2021, and your bonds were subsequently released from ATOP after October 18, 2021 in accordance with the Disclosure Statement Order, you are not eligible to submit a Certification.

The deadline for your broker or nominee to submit your certification as a Retail Investor is **February 17, 2022 at 6:00 p.m. (Atlantic Standard Time)** (the “Certification Deadline”).³ **Note that your broker or nominee may set an earlier deadline to provide it sufficient time to submit your certification before the Certification Deadline.**

The record date to determine holders of **2011 PBA Bond Claims** eligible to receive this Certification Notice and make the Certification is 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, which coincides with the conclusion of the original voting and distribution election events for the Plan.

For the avoidance of doubt, if you traded the bonds associated with the **2011 PBA Bond Claims** after the Certification Record Date, but submit a valid Certification into ATOP pursuant to these instructions, only you will receive the Retail Support Fee based on the Certification. Any other entitlement or distribution pursuant to the terms of the Plan will be made to the holder of such bonds at the time of distribution.

How to Submit a Valid Certification

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an individual who holds **2011 PBA Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s)

you must instruct your broker or nominee (each, a “**Nominee**”) to electronically deliver all of your aforementioned bonds via the Automated Tender Offer Program (“**ATOP**”) at The Depository Trust Company (“**DTC**”) in accordance with your desire to instruct on the above certification.

If you and/or your Nominee do not electronically deliver your bonds via ATOP (i.e., take no action), you will be deemed not to be a Retail Investor.

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In addition, by delivering your bonds via ATOP, you are certifying that:

1. either (a) your certification is the only certification by you on account of a **2011 PBA Bond Claims**, or (b) in addition to the certification, one or more additional certifications (“**Additional Certifications**”) on account of other bonds have been submitted by one or more Nominees, and you have provided (or coordinated with your Nominee to provide) the Numerosity Spreadsheet (as defined below) to the Balloting Agent by the Certification Deadline;
2. you have submitted a Certification for all of your Claims on account of the bonds to which this Notice pertains and acknowledge that no split Certifications will be permitted;
3. you are the holder of the Claims on account of bonds to which this Notice pertains or is an authorized signatory of such holder, and has full power and authority to make the Certification; and
4. your Certification is subject to all the terms and conditions set forth in the Plan, Disclosure Statement, and Disclosure Statement Order.

No paperwork is required to be delivered to Prime Clerk LLC to effectuate the Certification (except in the limited circumstance noted below in the section titled “*Numerosity Information Request – Applicable Only for Beneficial Holders Submitting More Than One Instruction Through ATOP*”). The sole means of effectuating this Certification is to (i) validly tender your bonds into the proper ATOP envelope at DTC, and (ii) make the required certification set forth above, each as described on DTC’s ATOP system.

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If you revoke your Certification any time before the Certification Deadline, you may make a Certification again at any time before the Certification Deadline, in accordance with the instructions to submit a valid Certification above.

* * * * *

Numerosity Information Submission
(Applicable Only for Beneficial Holders Submitting More than One Certification Through ATOP)

Any beneficial holder of **2011 PBA Bond Claims** that holds multiple CUSIPs of **2011 PBA Bond Claims** and submits more than one certification through one or more Nominees, **MUST** submit (or coordinate with your Nominee(s) to submit) a list of all such ATOP instruction confirmation numbers (also referred to as ATOP voluntary offer instructions or “**VOIs**”). The Balloting Agent has made available a template electronic spreadsheet (the “**Numerosity Spreadsheet**”) on its website at: <https://cases.primeclerk.com/puertorico> (click on the link titled “Numerosity Spreadsheet”).

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If you have any questions about your holdings, please contact your Nominee. Additionally, you must contact your Nominee to take any action described above.

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**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO, THE
EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO, AND THE PUERTO RICO PUBLIC
BUILDINGS AUTHORITY,

Debtors.¹

PROMESA
Title III

No. 17 BK 3283-LTS

(Jointly Administered)

NOTICE OF RETAIL INVESTOR CERTIFICATION

On January 18, 2022, the United States District Court for the District of Puerto Rico (the “Court”) entered an order confirming the *Modified Eight Amended Title III Plan of Adjustment of the Commonwealth of Puerto Rico, et al.*, dated January 14, 2022 (as amended, supplemented, or modified, the “Plan”).² The Plan provides for certain distributions to be made to “Retail Investors.”

A “Retail Investor” is an individual who holds **2012 PBA Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s).

If you are a “Retail Investor” and did not submit a vote to accept or reject the Plan on or before 5:00 p.m. (Atlantic Standard Time) on October 18, 2021 (or submitted such vote, which you timely revoked), you must identify yourself to be eligible to receive the appropriate distributions as a “Retail Investor” pursuant to the Plan. Instructions to identify yourself and

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For the avoidance of doubt, if you submitted a valid vote into ATOP on or before October 18, 2021, and your bonds were subsequently released from ATOP after October 18, 2021 in accordance with the Disclosure Statement Order, you are not eligible to submit a Certification.

The deadline for your broker or nominee to submit your certification as a Retail Investor is **February 17, 2022 at 6:00 p.m. (Atlantic Standard Time)** (the “Certification Deadline”).³ **Note that your broker or nominee may set an earlier deadline to provide it sufficient time to submit your certification before the Certification Deadline.**

The record date to determine holders of **2012 PBA Bond Claims** eligible to receive this Certification Notice and make the Certification is 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, which coincides with the conclusion of the original voting and distribution election events for the Plan.

For the avoidance of doubt, if you traded the bonds associated with the **2012 PBA Bond Claims** after the Certification Record Date, but submit a valid Certification into ATOP pursuant to these instructions, only you will receive the Retail Support Fee based on the Certification. Any other entitlement or distribution pursuant to the terms of the Plan will be made to the holder of such bonds at the time of distribution.

How to Submit a Valid Certification

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you must instruct your broker or nominee (each, a “**Nominee**”) to electronically deliver all of your aforementioned bonds via the Automated Tender Offer Program (“**ATOP**”) at The Depository Trust Company (“**DTC**”) in accordance with your desire to instruct on the above certification.

If you and/or your Nominee do not electronically deliver your bonds via ATOP (i.e., take no action), you will be deemed not to be a Retail Investor.

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2. you have submitted a Certification for all of your Claims on account of the bonds to which this Notice pertains and acknowledge that no split Certifications will be permitted;
3. you are the holder of the Claims on account of bonds to which this Notice pertains or is an authorized signatory of such holder, and has full power and authority to make the Certification; and
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(Applicable Only for Beneficial Holders Submitting More than One Certification Through ATOP)

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**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO, THE
EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO, AND THE PUERTO RICO PUBLIC
BUILDINGS AUTHORITY,

Debtors.¹

PROMESA
Title III

No. 17 BK 3283-LTS

(Jointly Administered)

NOTICE OF RETAIL INVESTOR CERTIFICATION

On January 18, 2022, the United States District Court for the District of Puerto Rico (the “Court”) entered an order confirming the *Modified Eight Amended Title III Plan of Adjustment of the Commonwealth of Puerto Rico, et al.*, dated January 14, 2022 (as amended, supplemented, or modified, the “Plan”).² The Plan provides for certain distributions to be made to “Retail Investors.”

A “Retail Investor” is an individual who holds **Vintage CW Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s).

If you are a “Retail Investor” and did not submit a vote to accept or reject the Plan on or before 5:00 p.m. (Atlantic Standard Time) on October 18, 2021 (or submitted such vote, which you timely revoked), you must identify yourself to be eligible to receive the appropriate distributions as a “Retail Investor” pursuant to the Plan. Instructions to identify yourself and

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The deadline for your broker or nominee to submit your certification as a Retail Investor is **February 17, 2022 at 6:00 p.m. (Atlantic Standard Time)** (the “Certification Deadline”).³ **Note that your broker or nominee may set an earlier deadline to provide it sufficient time to submit your certification before the Certification Deadline.**

The record date to determine holders of **Vintage CW Bond Claims** eligible to receive this Certification Notice and make the Certification is 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, which coincides with the conclusion of the original voting and distribution election events for the Plan.

For the avoidance of doubt, if you traded the bonds associated with the **Vintage CW Bond Claims** after the Certification Record Date, but submit a valid Certification into ATOP pursuant to these instructions, only you will receive the Retail Support Fee based on the Certification. Any other entitlement or distribution pursuant to the terms of the Plan will be made to the holder of such bonds at the time of distribution.

How to Submit a Valid Certification

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In addition, by delivering your bonds via ATOP, you are certifying that:

1. either (a) your certification is the only certification by you on account of a **Vintage CW Bond Claims**, or (b) in addition to the certification, one or more additional certifications (“**Additional Certifications**”) on account of other bonds have been submitted by one or more Nominees, and you have provided (or coordinated with your Nominee to provide) the Numerosity Spreadsheet (as defined below) to the Balloting Agent by the Certification Deadline;
2. you have submitted a Certification for all of your Claims on account of the bonds to which this Notice pertains and acknowledge that no split Certifications will be permitted;
3. you are the holder of the Claims on account of bonds to which this Notice pertains or is an authorized signatory of such holder, and has full power and authority to make the Certification; and
4. your Certification is subject to all the terms and conditions set forth in the Plan, Disclosure Statement, and Disclosure Statement Order.

No paperwork is required to be delivered to Prime Clerk LLC to effectuate the Certification (except in the limited circumstance noted below in the section titled “*Numerosity Information Request – Applicable Only for Beneficial Holders Submitting More Than One Instruction Through ATOP*”). The sole means of effectuating this Certification is to (i) validly tender your bonds into the proper ATOP envelope at DTC, and (ii) make the required certification set forth above, each as described on DTC’s ATOP system.

**THE CERTIFICATION DEADLINE IS
6:00 P.M. (ATLANTIC STANDARD TIME) ON FEBRUARY 17, 2022.⁴**

This date and time is referred to as the “**Certification Deadline.**”

PLEASE TAKE NOTICE THAT IF YOU TENDER YOUR BONDS THROUGH ATOP, YOU WILL BE RESTRICTED FROM TRANSFERRING YOUR BONDS THROUGH THE EFFECTIVE DATE.

YOU MAY REVOKE YOUR CERTIFICATION AND WITHDRAW ANY TENDERED BONDS AT ANY TIME BEFORE THE CERTIFICATION DEADLINE.

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How to Revoke a Valid Certification

You may revoke your Certification and withdraw your bonds tendered through DTC’s ATOP at any time on or before the Certification Deadline.

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If you revoke your Certification any time before the Certification Deadline, you may make a Certification again at any time before the Certification Deadline, in accordance with the instructions to submit a valid Certification above.

* * * * *

Numerosity Information Submission
(Applicable Only for Beneficial Holders Submitting More than One Certification Through ATOP)

Any beneficial holder of **Vintage CW Bond Claims** that holds multiple CUSIPs of **Vintage CW Bond Claims** and submits more than one certification through one or more Nominees, **MUST** submit (or coordinate with your Nominee(s) to submit) a list of all such ATOP instruction confirmation numbers (also referred to as ATOP voluntary offer instructions or “**VOIs**”). The Balloting Agent has made available a template electronic spreadsheet (the “**Numerosity Spreadsheet**”) on its website at: <https://cases.primeclerk.com/puertorico> (click on the link titled “Numerosity Spreadsheet”).

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If you have any questions about your holdings, please contact your Nominee. Additionally, you must contact your Nominee to take any action described above.

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, PLEASE CONTACT THE BALLOTING AGENT, PRIME CLERK, LLC, BY TELEPHONE AT (844) 822-9231 (TOLL FREE FOR U.S. AND PUERTO RICO) OR (646) 486-7944 (FOR INTERNATIONAL CALLERS), AVAILABLE 10:00 A.M. TO 7:00 P.M. (ATLANTIC STANDARD TIME) (SPANISH AVAILABLE), OR BY EMAIL AT PUERTORICOINFO@PRIMECLERK.COM AND REFERENCE “RETAIL INVESTOR SOLICITATION” IN THE SUBJECT LINE. PLEASE NOTE THAT PRIME CLERK LLC IS NOT AUTHORIZED TO PROVIDE, AND WILL NOT PROVIDE, LEGAL ADVICE.

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO, THE
EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO, AND THE PUERTO RICO PUBLIC
BUILDINGS AUTHORITY,

Debtors.¹

PROMESA
Title III

No. 17 BK 3283-LTS

(Jointly Administered)

NOTICE OF RETAIL INVESTOR CERTIFICATION

On January 18, 2022, the United States District Court for the District of Puerto Rico (the “Court”) entered an order confirming the *Modified Eight Amended Title III Plan of Adjustment of the Commonwealth of Puerto Rico, et al.*, dated January 14, 2022 (as amended, supplemented, or modified, the “Plan”).² The Plan provides for certain distributions to be made to “Retail Investors.”

A “Retail Investor” is an individual who holds **2011 CW Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s).

If you are a “Retail Investor” and did not submit a vote to accept or reject the Plan on or before 5:00 p.m. (Atlantic Standard Time) on October 18, 2021 (or submitted such vote, which you timely revoked), you must identify yourself to be eligible to receive the appropriate distributions as a “Retail Investor” pursuant to the Plan. Instructions to identify yourself and

¹ The Debtors in these Title III Cases, along with each Debtor’s respective Title III case number and the last four (4) digits of each Debtor’s federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17-BK-3283-LTS) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation (“COFINA”) (Bankruptcy Case No. 17-BK-3284-LTS) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority (“HTA”) (Bankruptcy Case No. 17-BK-3567-LTS) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico (“ERS”) (Bankruptcy Case No. 17-BK-3566-LTS) (Last Four Digits of Federal Tax ID: 9686); (v) Puerto Rico Electric Power Authority (“PREPA”) (Bankruptcy Case No. 17-BK-4780-LTS) (Last Four Digits of Federal Tax ID: 3747); and (vi) Puerto Rico Public Buildings Authority (“PBA”) (Bankruptcy Case No. 19-BK-5523-LTS) (Last Four Digits of Federal Tax ID: 3801) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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certify (the “Certification”) that you meet the requirements to be a “Retail Investor” are provided below.

For the avoidance of doubt, if you submitted a valid vote into ATOP on or before October 18, 2021, and your bonds were subsequently released from ATOP after October 18, 2021 in accordance with the Disclosure Statement Order, you are not eligible to submit a Certification.

The deadline for your broker or nominee to submit your certification as a Retail Investor is **February 17, 2022 at 6:00 p.m. (Atlantic Standard Time)** (the “Certification Deadline”).³ **Note that your broker or nominee may set an earlier deadline to provide it sufficient time to submit your certification before the Certification Deadline.**

The record date to determine holders of **2011 CW Bond Claims** eligible to receive this Certification Notice and make the Certification is 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, which coincides with the conclusion of the original voting and distribution election events for the Plan.

For the avoidance of doubt, if you traded the bonds associated with the **2011 CW Bond Claims** after the Certification Record Date, but submit a valid Certification into ATOP pursuant to these instructions, only you will receive the Retail Support Fee based on the Certification. Any other entitlement or distribution pursuant to the terms of the Plan will be made to the holder of such bonds at the time of distribution.

How to Submit a Valid Certification

If you wish to certify that, as of 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, you were:

an individual who holds **2011 CW Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s)

you must instruct your broker or nominee (each, a “**Nominee**”) to electronically deliver all of your aforementioned bonds via the Automated Tender Offer Program (“**ATOP**”) at The Depository Trust Company (“**DTC**”) in accordance with your desire to instruct on the above certification.

If you and/or your Nominee do not electronically deliver your bonds via ATOP (i.e., take no action), you will be deemed not to be a Retail Investor.

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2. you have submitted a Certification for all of your Claims on account of the bonds to which this Notice pertains and acknowledge that no split Certifications will be permitted;
3. you are the holder of the Claims on account of bonds to which this Notice pertains or is an authorized signatory of such holder, and has full power and authority to make the Certification; and
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If you revoke your Certification any time before the Certification Deadline, you may make a Certification again at any time before the Certification Deadline, in accordance with the instructions to submit a valid Certification above.

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(Applicable Only for Beneficial Holders Submitting More than One Certification Through ATOP)

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**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO, THE
EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO, AND THE PUERTO RICO PUBLIC
BUILDINGS AUTHORITY,

Debtors.¹

PROMESA
Title III

No. 17 BK 3283-LTS

(Jointly Administered)

NOTICE OF RETAIL INVESTOR CERTIFICATION

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A “Retail Investor” is an individual who holds **2011 CW Series D/E/PIB Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s).

If you are a “Retail Investor” and did not submit a vote to accept or reject the Plan on or before 5:00 p.m. (Atlantic Standard Time) on October 18, 2021 (or submitted such vote, which you timely revoked), you must identify yourself to be eligible to receive the appropriate

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distributions as a “Retail Investor” pursuant to the Plan. Instructions to identify yourself and certify (the “Certification”) that you meet the requirements to be a “Retail Investor” are provided below.

For the avoidance of doubt, if you submitted a valid vote into ATOP on or before October 18, 2021, and your bonds were subsequently released from ATOP after October 18, 2021 in accordance with the Disclosure Statement Order, you are not eligible to submit a Certification.

The deadline for your broker or nominee to submit your certification as a Retail Investor is **February 17, 2022 at 6:00 p.m. (Atlantic Standard Time)** (the “Certification Deadline”).³ **Note that your broker or nominee may set an earlier deadline to provide it sufficient time to submit your certification before the Certification Deadline.**

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How to Submit a Valid Certification

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an individual who holds **2011 CW Series D/E/PIB Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s)

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In re:

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as representative of

THE COMMONWEALTH OF PUERTO RICO, THE
EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
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PROMESA
Title III

No. 17 BK 3283-LTS

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⁴ 6:00 PM (Atlantic Standard Time) is equivalent to 5:00 PM (Eastern Standard Time).

If you wish to revoke your Certification, you must instruct your Nominee to revoke your Certification and withdraw your bonds via ATOP at DTC (which withdrawal will be confirmed by Prime Clerk LLC once notified by DTC of the withdrawal request). No paperwork is required to be delivered to Prime Clerk LLC to effectuate the revocation.

If you revoke your Certification any time before the Certification Deadline, you may make a Certification again at any time before the Certification Deadline, in accordance with the instructions to submit a valid Certification above.

* * * * *

Numerosity Information Submission
(Applicable Only for Beneficial Holders Submitting More than One Certification Through ATOP)

Any beneficial holder of **2012 CW Bond Claims** that holds multiple CUSIPs of **2012 CW Bond Claims** and submits more than one certification through one or more Nominees, **MUST** submit (or coordinate with your Nominee(s) to submit) a list of all such ATOP instruction confirmation numbers (also referred to as ATOP voluntary offer instructions or “**VOIs**”). The Balloting Agent has made available a template electronic spreadsheet (the “**Numerosity Spreadsheet**”) on its website at: <https://cases.primeclerk.com/puertorico> (click on the link titled “Numerosity Spreadsheet”).

Please return (or coordinate with your Nominee to return) the Numerosity Spreadsheet to the Balloting Agent in excel format via email to puertoricoinfo@primeclerk.com. If you anticipate any difficulty in submitting your Numerosity Spreadsheet in excel format, please contact Prime Clerk at (844) 822-9231 (toll free for U.S. and Puerto Rico) or (646) 486-7944 (for international callers) or by e-mail at puertoricoballots@primeclerk.com.

* * * * *

If you have any questions about your holdings, please contact your Nominee. Additionally, you must contact your Nominee to take any action described above.

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, PLEASE CONTACT THE BALLOTING AGENT, PRIME CLERK, LLC, BY TELEPHONE AT (844) 822-9231 (TOLL FREE FOR U.S. AND PUERTO RICO) OR (646) 486-7944 (FOR INTERNATIONAL CALLERS), AVAILABLE 10:00 A.M. TO 7:00 P.M. (ATLANTIC STANDARD TIME) (SPANISH AVAILABLE), OR BY EMAIL AT PUERTORICOINFO@PRIMECLERK.COM AND REFERENCE “RETAIL INVESTOR SOLICITATION” IN THE SUBJECT LINE. PLEASE NOTE THAT PRIME CLERK LLC IS NOT AUTHORIZED TO PROVIDE, AND WILL NOT PROVIDE, LEGAL ADVICE.

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO, THE
EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO, AND THE PUERTO RICO PUBLIC
BUILDINGS AUTHORITY,

Debtors.¹

PROMESA
Title III

No. 17 BK 3283-LTS

(Jointly Administered)

NOTICE OF RETAIL INVESTOR CERTIFICATION

On January 18, 2022, the United States District Court for the District of Puerto Rico (the “Court”) entered an order confirming the *Modified Eight Amended Title III Plan of Adjustment of the Commonwealth of Puerto Rico, et al.*, dated January 14, 2022 (as amended, supplemented, or modified, the “Plan”).² The Plan provides for certain distributions to be made to “Retail Investors.”

A “Retail Investor” is an individual who holds **2014 CW Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s).

If you are a “Retail Investor” and did not submit a vote to accept or reject the Plan on or before 5:00 p.m. (Atlantic Standard Time) on October 18, 2021 (or submitted such vote, which you timely revoked), you must identify yourself to be eligible to receive the appropriate distributions as a “Retail Investor” pursuant to the Plan. Instructions to identify yourself and

¹ The Debtors in these Title III Cases, along with each Debtor’s respective Title III case number and the last four (4) digits of each Debtor’s federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17-BK-3283-LTS) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation (“COFINA”) (Bankruptcy Case No. 17-BK-3284-LTS) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority (“HTA”) (Bankruptcy Case No. 17-BK-3567-LTS) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico (“ERS”) (Bankruptcy Case No. 17-BK-3566-LTS) (Last Four Digits of Federal Tax ID: 9686); (v) Puerto Rico Electric Power Authority (“PREPA”) (Bankruptcy Case No. 17-BK-4780-LTS) (Last Four Digits of Federal Tax ID: 3747); and (vi) Puerto Rico Public Buildings Authority (“PBA”) (Bankruptcy Case No. 19-BK-5523-LTS) (Last Four Digits of Federal Tax ID: 3801) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

² Unless otherwise defined in this Notice, capitalized terms used herein shall have the meanings given to them in the Plan.

certify (the “Certification”) that you meet the requirements to be a “Retail Investor” are provided below.

For the avoidance of doubt, if you submitted a valid vote into ATOP on or before October 18, 2021, and your bonds were subsequently released from ATOP after October 18, 2021 in accordance with the Disclosure Statement Order, you are not eligible to submit a Certification.

The deadline for your broker or nominee to submit your certification as a Retail Investor is **February 17, 2022 at 6:00 p.m. (Atlantic Standard Time)** (the “Certification Deadline”).³ **Note that your broker or nominee may set an earlier deadline to provide it sufficient time to submit your certification before the Certification Deadline.**

The record date to determine holders of **2014 CW Bond Claims** eligible to receive this Certification Notice and make the Certification is 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, which coincides with the conclusion of the original voting and distribution election events for the Plan.

For the avoidance of doubt, if you traded the bonds associated with the **2014 CW Bond Claims** after the Certification Record Date, but submit a valid Certification into ATOP pursuant to these instructions, only you will receive the Retail Support Fee based on the Certification. Any other entitlement or distribution pursuant to the terms of the Plan will be made to the holder of such bonds at the time of distribution.

How to Submit a Valid Certification

If you wish to certify that, as of 5:00 p.m. (Atlantic Standard Time) on October 18, 2021, you were:

an individual who holds **2014 CW Bond Claims** in the aggregate outstanding principal amount of One Million Dollars (\$1,000,000.00) or less in one or more brokerage account(s), trust account(s), custodial account(s), or in separately managed account(s)

you must instruct your broker or nominee (each, a “**Nominee**”) to electronically deliver all of your aforementioned bonds via the Automated Tender Offer Program (“**ATOP**”) at The Depository Trust Company (“**DTC**”) in accordance with your desire to instruct on the above certification.

If you and/or your Nominee do not electronically deliver your bonds via ATOP (i.e., take no action), you will be deemed not to be a Retail Investor.

* * * * *

³ 6:00 PM (Atlantic Standard Time) is equivalent to 5:00 PM (Eastern Standard Time).

In addition, by delivering your bonds via ATOP, you are certifying that:

1. either (a) your certification is the only certification by you on account of a **2014 CW Bond Claims**, or (b) in addition to the certification, one or more additional certifications (“**Additional Certifications**”) on account of other bonds have been submitted by one or more Nominees, and you have provided (or coordinated with your Nominee to provide) the Numerosity Spreadsheet (as defined below) to the Balloting Agent by the Certification Deadline;
2. you have submitted a Certification for all of your Claims on account of the bonds to which this Notice pertains and acknowledge that no split Certifications will be permitted;
3. you are the holder of the Claims on account of bonds to which this Notice pertains or is an authorized signatory of such holder, and has full power and authority to make the Certification; and
4. your Certification is subject to all the terms and conditions set forth in the Plan, Disclosure Statement, and Disclosure Statement Order.

No paperwork is required to be delivered to Prime Clerk LLC to effectuate the Certification (except in the limited circumstance noted below in the section titled “*Numerosity Information Request – Applicable Only for Beneficial Holders Submitting More Than One Instruction Through ATOP*”). The sole means of effectuating this Certification is to (i) validly tender your bonds into the proper ATOP envelope at DTC, and (ii) make the required certification set forth above, each as described on DTC’s ATOP system.

**THE CERTIFICATION DEADLINE IS
6:00 P.M. (ATLANTIC STANDARD TIME) ON FEBRUARY 17, 2022.⁴**

This date and time is referred to as the “**Certification Deadline.**”

PLEASE TAKE NOTICE THAT IF YOU TENDER YOUR BONDS THROUGH ATOP, YOU WILL BE RESTRICTED FROM TRANSFERRING YOUR BONDS THROUGH THE EFFECTIVE DATE.

YOU MAY REVOKE YOUR CERTIFICATION AND WITHDRAW ANY TENDERED BONDS AT ANY TIME BEFORE THE CERTIFICATION DEADLINE.

* * * * *

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* * * * *

Numerosity Information Submission
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Exhibit C

Nominees and Depository Service List
Served via Email

Name	Email
Broadridge	BBDCorporateActionOps@broadridge.com; BankruptcyJobs@broadridge.com
Clearstream	nathalie.chataigner@clearstream.com; cherifa.maameri@clearstream.com; david.mccauley@clearstream.com; hulya.din@clearstream.com; ca_luxembourg@clearstream.com; ca_mandatory.events@clearstream.com
DTC	LegalAndTaxNotices@dtcc.com; MandatoryReorgAnnouncements@dtcc.com; VoluntaryReorgAnnouncements@dtcc.com; rgiordano@dtcc.com;
Euroclear	jpmorganinformation.services@jpmorgan.com; drit@euroclear.com; eb.ca@euroclear.com
Mediant	corporateactions@mediantonline.com; nseguin@mediantonline.com; mhamdan@mediantonline.com; mgiffin@mediantonline.com
Sisclear	ca.notices@six-securities-services.com
Ameriprise Financial (0216)	EMAIL REDACTED
Bank of America (0955)	EMAIL REDACTED
Bank of America Corporate Actions	EMAIL REDACTED
BANK OF NEW YORK MELLON (0901/2510/8320)	EMAIL REDACTED
BARCLAYS CAPITAL /BARCLAYS BANK (0229/7254/5101)	EMAIL REDACTED
BB & T SECURITIES(0702)	EMAIL REDACTED
BMO NSBT BURNS (5043)	EMAIL REDACTED
BNP PARIBAS (2787/1569/2154/2884/2885/2147)	EMAIL REDACTED
BNY MELLON/ NE TRUST (0954/2485)	EMAIL REDACTED
BROWN BROTHERS HARRIMAN & CO. (0010)	EMAIL REDACTED
CANTOR FITZGERALD (7311/0197)	EMAIL REDACTED
CDS	EMAIL REDACTED
CHARLES SCHWAB & CO., INC. (0164)	EMAIL REDACTED
CIBC (5030)	EMAIL REDACTED
CITIBANK, N.A. (0908/0418/0505/0274)	EMAIL REDACTED
COMERICA BANK (2108)	EMAIL REDACTED
Cor Clearing LLC(0052)	EMAIL REDACTED
CREDIT SUISSE SECURITIES USA LLC - (0355)	EMAIL REDACTED
DAVENPORT & COMPANY LLC (0715)	EMAIL REDACTED
DESERET TRUST COMPANY - I (2497)	EMAIL REDACTED
DESJARDINS(5028)	EMAIL REDACTED
DEUTSCHE BANK SECURITIES (0573)	EMAIL REDACTED
E*TRADE /RIDGE CLEARING (0158/0358)	EMAIL REDACTED
EDWARD JONES (0057)	EMAIL REDACTED

Exhibit
Nominees and Depository Service List
Served via Email

FIRST CLEARING, LLC (0141)	EMAIL REDACTED
FIRST SOUTHWEST COMPANY (0309)	EMAIL REDACTED
GOLDMAN SACHS (0005 / 5208 / 2941)	EMAIL REDACTED
Hilltop Securities Inc (0279)	EMAIL REDACTED
HSBC (8396)	EMAIL REDACTED
Huntington (2305)	EMAIL REDACTED
INGALLS & SNYDER, L.L.C. (0124)	EMAIL REDACTED
INTERACTIVE BROKERS/TH (0534)	EMAIL REDACTED
INTL FCSTONE, INC.f.k.a STERNE, AGEE & LEACH, INC. (0750)	EMAIL REDACTED
J.P. MORGAN CLEARING CORP. (0352/2035/0902)	EMAIL REDACTED
JANNEY MONTGOMERY SCOTT INC. (0374)	EMAIL REDACTED
JEFFERIES (0019)	EMAIL REDACTED
JPMorgan (2424)	EMAIL REDACTED
JPMorgan (8187)	EMAIL REDACTED
JPMs LLC (187)	EMAIL REDACTED
LEEDE JONES GABLE INC (5071)	EMAIL REDACTED
LPL FINANCIAL CORPORATION (0075)	EMAIL REDACTED
MERRILL LYNCH (0161/5198/0773)	EMAIL REDACTED
MITSUB UFJ (2932)	EMAIL REDACTED
Morgan Stanley (0050)	EMAIL REDACTED
MORGAN STANLEY SMITH BARNEY LLC (0015)	EMAIL REDACTED
MUFG (2145)	EMAIL REDACTED
NATIONAL FINANCIAL SERVICES LLC (0226)	EMAIL REDACTED
NBCN Inc./CDS (5008)	EMAIL REDACTED
NOMURA SECURITIES/FIXED INCOME (5222)	EMAIL REDACTED
NORTHERN TRUST CO (2669)	EMAIL REDACTED
OPPENHEIMER & CO. INC. (0571)	EMAIL REDACTED
RAYMOND JAMES & ASSOCIATES, INC. (0725)	EMAIL REDACTED
RBC CAPITAL MARKETS (0235)	EMAIL REDACTED
REGIONS BANK (0971)	EMAIL REDACTED
SCOTIA CAPITAL INC. /CDS (5011/4812)	EMAIL REDACTED
Scottrade (0705)	EMAIL REDACTED
SEI PRIVATE (2039/2663)	EMAIL REDACTED
STATE STREET BANK AND TRUST (0997/2319/2950)	EMAIL REDACTED
STIFEL, NICOLAUS & CO (0793)	EMAIL REDACTED

Nominees and Depository Service List

Served via Email

TD AMERITRADE CLEARING, INC. (0188)	EMAIL REDACTED
TD Waterhouse /CDS (5036)	EMAIL REDACTED
TRADESTATION	EMAIL REDACTED
UBS FINANCIAL SERVICES LLC (0221)	EMAIL REDACTED
US Bank (2803)	EMAIL REDACTED
VANGUARD (0062)	EMAIL REDACTED
WEDBUSH MORGAN SECURITIES (0103)	EMAIL REDACTED
WELLS FARGO (0250/2027)	EMAIL REDACTED